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CS6740: Network security

Additional material: Cryptography

1: Terminology and classic ciphers

Readings for this lecture

Required readings:

- ▶ [Cryptography on Wikipedia](#)

Interesting reading

- ▶ [The Code Book by Simon Singh](#)

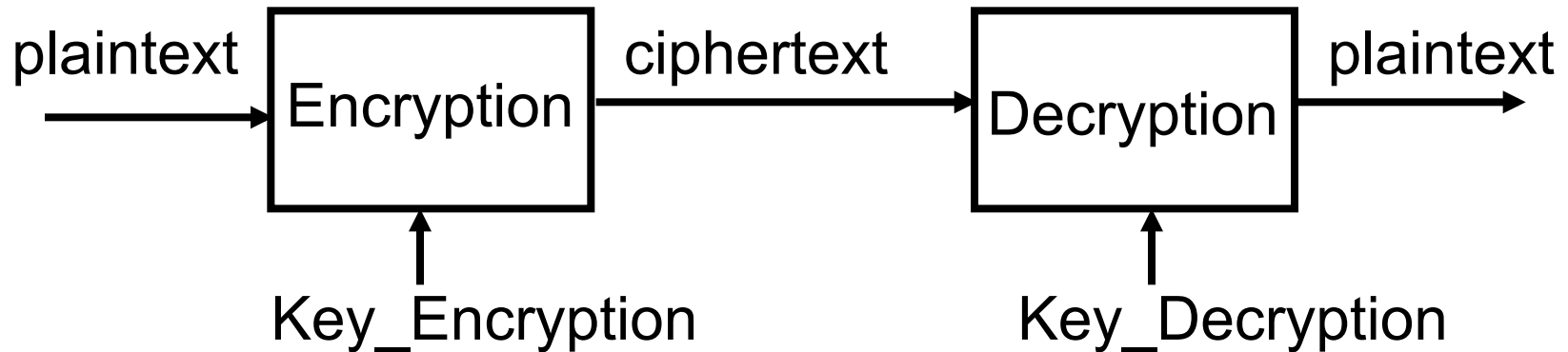


The science of secrets...

- ▶ **Cryptography**: the study of mathematical techniques related to aspects of providing information security services (create)
- ▶ **Cryptanalysis**: the study of mathematical techniques for attempting to defeat information security services (break)
- ▶ **Cryptology**: the study of cryptography and cryptanalysis (both)

Basic terminology in cryptography

- ▶ cryptography
- ▶ cryptanalysis
- ▶ cryptology
- ▶ plaintexts
- ▶ ciphertexts
- ▶ keys
- ▶ encryption
- ▶ decryption



Cryptographic protocols

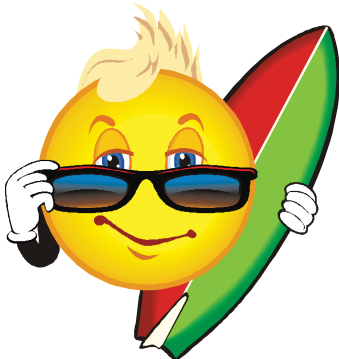
- ▶ **Protocols that**
 - ▶ Enable parties
 - ▶ Achieve objectives (goals)
 - ▶ Overcome adversaries (attacks)
- ▶ **Need to understand**
 - ▶ Who are the parties and the context in which they act
 - ▶ What are the goals of the protocols
 - ▶ What are the capabilities of adversaries

Cryptographic protocols: Parties

► The good guys



Alice



Bob

Introduction of Alice and Bob
attributed to the original RSA paper.

► The bad guys



Carl



Eve

Check out wikipedia for a longer
list of malicious crypto players.

Cryptographic protocols: Objectives/Goals

- ▶ Most basic problem:
 - ▶ Ensure security of communication over an insecure medium
- ▶ Basic security goals:
 - ▶ **Confidentiality** (secrecy, confidentiality)
 - ▶ Only the intended recipient can see the communication
 - ▶ **Authenticity** (integrity)
 - ▶ Communication is generated by the alleged sender

Goals of modern cryptography

- ▶ Pseudo-random number generation
- ▶ Non-repudiation: digital signatures
- ▶ Anonymity
- ▶ Zero-knowledge proof
- ▶ E-voting
- ▶ Secret sharing

Cryptographic protocols: Attackers

- ▶ **Interaction with data and protocol**
 - ▶ Eavesdropping or actively participating in the protocol
- ▶ **Resources:**
 - ▶ Computation, storage
 - ▶ Limited or unlimited
- ▶ **Access to previously encrypted communication**
 - ▶ Only encrypted information (ciphertext)
 - ▶ Pairs of message and encrypted version (plaintext, ciphertext)
- ▶ **Interaction with the cipher algorithm**
 - ▶ Choose or not for what message to have the encrypted version (chose ciphertext)

Interaction with data and protocol

- ▶ **Passive**: the attacker only monitors the communication. It threatens confidentiality.
 - ▶ **Example**: listen to the communication between Alice and Bob, and if it's encrypted try to decrypt it.
- ▶ **Active**: the attacker is actively involved in the protocol in deleting, adding or modifying data. It threatens all security services.
 - ▶ **Example**: Alice sends Bob a message: 'meet me today at 5', Carl intercepts the message and modifies it 'meet me tomorrow at 5', and then sends it to Bob.

Resources

- ▶ In practice attackers have limited computational power
- ▶ Some theoretical models consider that the attacker has unlimited computational resources

Attacker knowledge of previous encryptions

- ▶ Ciphertext-only attack

- ▶ Attacker knows only the ciphertext
- ▶ A cipher that is not resilient to this attack is not secure

- ▶ Known plaintext attack

- ▶ Attacker knows one or several pairs of ciphertext and the corresponding plaintext
- ▶ Goal is to be able to decrypt other ciphertexts for which the plaintext is unknown

Interactions with cipher algorithm

▶ Chosen-plaintext attack

- ▶ Attacker can choose a number of messages and obtain the ciphertexts for them
- ▶ Adaptive: the choice of plaintext depends on the ciphertext received from previous requests

▶ Chosen-ciphertext attack

- ▶ Similar to the chosen-plaintext attack, but the cryptanalyst can choose a number of ciphertexts and obtain the plaintexts
- ▶ Adaptive: the choice of ciphertext may depend on the plaintext received from previous requests

Approaches to secure communication

- ▶ **Steganography**

- ▶ “covered writing”
- ▶ hides the existence of a message
- ▶ depends on secrecy of method

- ▶ **Cryptography**

- ▶ “hidden writing”
- ▶ hide the meaning of a message
- ▶ depends on secrecy of a short key, not method

Shift cipher

- ▶ A substitution cipher
- ▶ The key space:
 - ▶ $[0 \dots 25]$
- ▶ Encryption given a key K :
 - ▶ each letter in the plaintext P is replaced with the K 'th letter following corresponding number (shift right)
- ▶ Decryption given K :
 - ▶ shift left

History: $K = 3$, Caesar's cipher



Shift Cipher: Cryptanalysis

- ▶ Can an attacker find K?
 - ▶ YES: by a brute force attack through exhaustive key search
 - ▶ key space is small (≤ 26 possible keys)
- ▶ Lessons:
 - ▶ Cipher key space needs to be large enough
 - ▶ Exhaustive key search can be effective

Mono-alphabetical substitution cipher

- ▶ The key space: all permutations of $\Sigma = \{A, B, C, \dots, Z\}$
- ▶ Encryption given a key (permutation) π :
 - ▶ each letter X in the plaintext P is replaced with $\pi(X)$
- ▶ Decryption given a key π :
 - ▶ each letter Y in the ciphertext P is replaced with $\pi^{-1}(Y)$

Example:

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z
$\pi =$	B	A	D	C	Z	H	W	Y	G	O	Q	X	L	V	T	R	N	M	S	K	J	I	P	F	E	U

BECAUSE \rightarrow AZDBJSZ

Cryptanalysis of mono-alphabetical substitution cipher

- ▶ Exhaustive search is infeasible
 - ▶ key space size is $26! \approx 4 \cdot 10^{26}$
- ▶ Dominates the art of secret writing throughout the first millennium A.D.
- ▶ Thought to be unbreakable by many back then, until frequency analysis

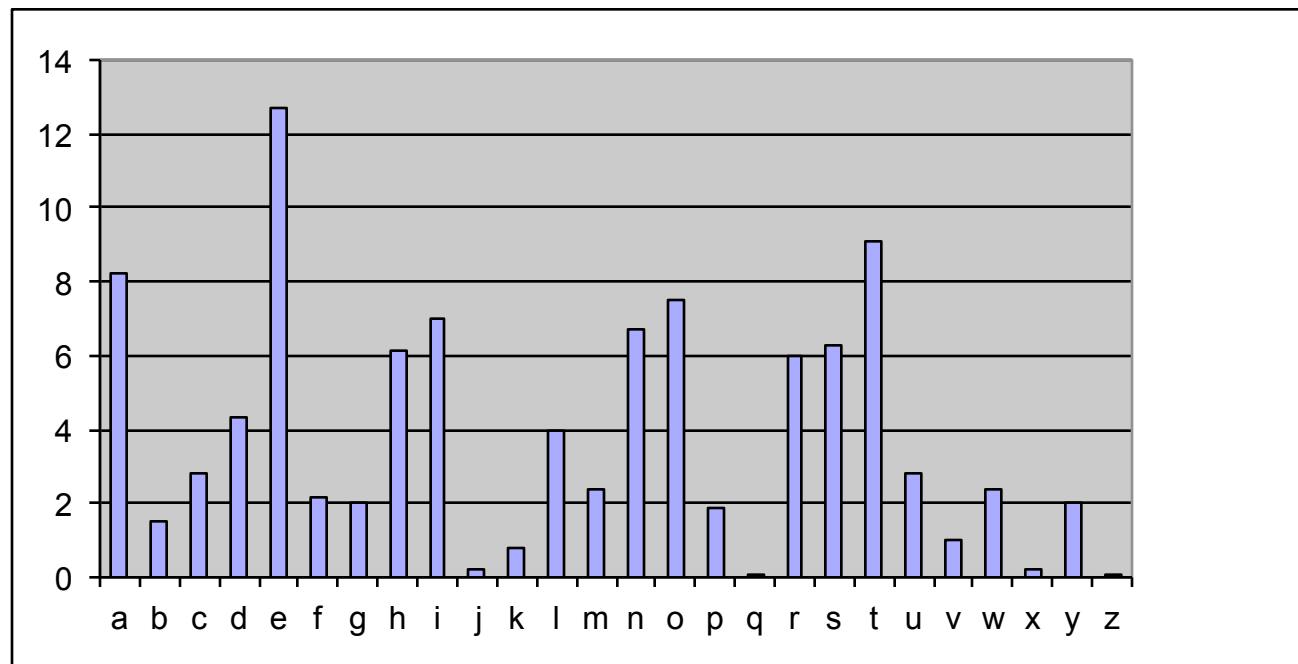
History of frequency analysis

- ▶ Discovered by the Arabs
 - ▶ Earliest known description of frequency analysis is in a book by the ninth-century scientist Al-Kindi
- ▶ Rediscovered or introduced from the Arabs in the Europe during the Renaissance
- ▶ Frequency analysis made substitution cipher insecure

Frequency analysis

- ▶ Each language has certain features: frequency of letters, or of groups of two or more letters
- ▶ Substitution ciphers preserve the language features
- ▶ Substitution ciphers are vulnerable to frequency analysis attacks

Frequency of letters in English



How to defeat frequency analysis?

- ▶ Use larger blocks as the basis of substitution. Rather than substituting one letter at a time, substitute 64 bits at a time, or 128 bits.
 - ▶ Leads to block ciphers such as DES & AES.
- ▶ Use different substitutions to get rid of frequency features.
 - ▶ Leads to polyalphabetical substitution ciphers
 - ▶ Stream ciphers

Towards polyalphabetic substitution ciphers

- ▶ **Main weaknesses of monoalphabetic substitution ciphers**
 - ▶ each letter in the ciphertext corresponds to only one letter in the plaintext letter
- ▶ **Idea for a stronger cipher (1460's by Alberti)**
 - ▶ use more than one cipher alphabet, and switch between them when encrypting different letters
- ▶ **Giovanni Battista Bellaso published it in 1553**
- ▶ **Developed into a practical cipher by Blaise de Vigenère and published in 1586**

Vigenère cipher

Definition:

Given m , a positive integer, $P = C = (\mathbb{Z}_{26})^n$, and $K = (k_1, k_2, \dots, k_m)$ a key, we define:

Encryption:

$$e_k(p_1, p_2 \dots p_m) = (p_1 + k_1, p_2 + k_2 \dots p_m + k_m) \pmod{26}$$

Decryption:

$$d_k(c_1, c_2 \dots c_m) = (c_1 - k_1, c_2 - k_2 \dots c_m - k_m) \pmod{26}$$

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25

Example:

Plaintext: C R Y P T O G R A P H Y

Key: L U C K L U C K L U C K

Ciphertext: N L A Z E I I B L J J I

Security of Vigenere cipher

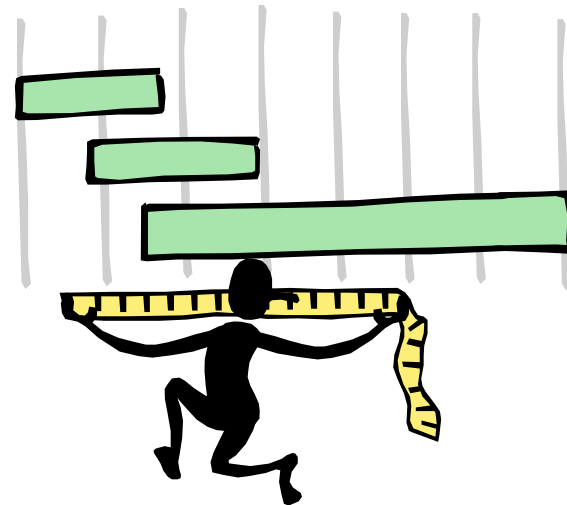
- ▶ Vigenere **masks the frequency** with which a character appears in a language: one letter in the ciphertext corresponds to multiple letters in the plaintext. Makes the **use of frequency analysis more difficult**
- ▶ Any message encrypted by a Vigenere cipher is a collection of as **many shift ciphers** as there are letters in the key

Vigenere cipher cryptanalysis

- ▶ Find the **length of the key**
- ▶ **Divide** the message into that many shift cipher encryptions
- ▶ **Use frequency analysis** to solve the resulting shift ciphers
 - ▶ how?

How to find the key length?

- ▶ For Vigenere, as the length of the keyword increases, the letter frequency shows less English-like characteristics and becomes more random
- ▶ Two methods to find the key length:
 - ▶ Kasisky test
 - ▶ Index of coincidence (Friedman)



History of breaking Vigenere

- ▶ 1596 - Cipher was published by Vigenere
- ▶ 1854 - It is believed the Charles Babbage knew how to break it in 1854, but he did not published the results
- ▶ 1863 - Kasiski showed the Kasiski examination that showed how to break Vigenere
- ▶ 1920 - Friedman published ``The index of coincidence and its applications to cryptography''

Kasisky test for finding key length

- ▶ Observation: two identical segments of plaintext, will be encrypted to the same ciphertext, if they occur in the text at the distance Δ , ($\Delta \equiv 0 \pmod{m}$), m is the key length).
- ▶ Algorithm:
 - ▶ Search for pairs of identical segments of length at least 3
 - ▶ Record distances between the two segments: $\Delta_1, \Delta_2, \dots$
 - ▶ m divides $\gcd(\Delta_1, \Delta_2, \dots)$



Example of the Kasisky test

Key	K I N G K I N G K I N G K I N G K I N G K I N G
PT	t h e s u n a n d t h e m a n i n t h e m o o n
CT	D P R Y E V N T N <u>B U K</u> W I A O X <u>B U K</u> W W B T

Repeating patterns (strings of length 3 or more) in ciphertext are likely due to repeating plaintext strings encrypted under repeating key strings; thus the location difference should be multiples of key lengths.

Security principles

- ▶ **Kerckhoffs's Principle:**

- ▶ A cryptosystem should be secure even if everything about the system, except the key, is public knowledge.

- ▶ **Shannon's maxim:**

- ▶ "The enemy knows the system."

- ▶ **Security by obscurity doesn't work**

- ▶ Should assume that the adversary knows the algorithm; the only secret the adversary is assumed to not know is the key

- ▶ **What is the difference between the algorithm and the key?**

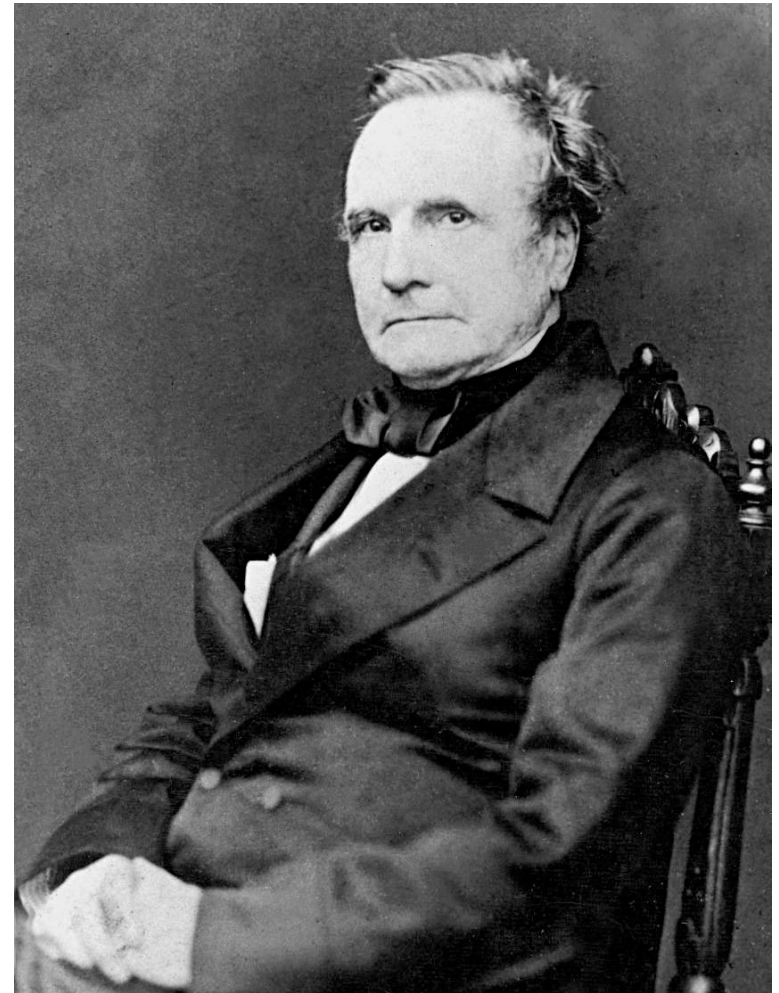
Friedrich Wilhelm Kasiski (1805 – 1881)

- ▶ German infantry officer, cryptographer and archeologist.



Charles Babbage (1791 – 1871)

- ▶ English mathematician, philosopher, inventor and mechanical engineer who originated the concept of a programmable computer.
- ▶ Considered a "father of the computer", he invented the first mechanical computer that eventually led to more complex designs.



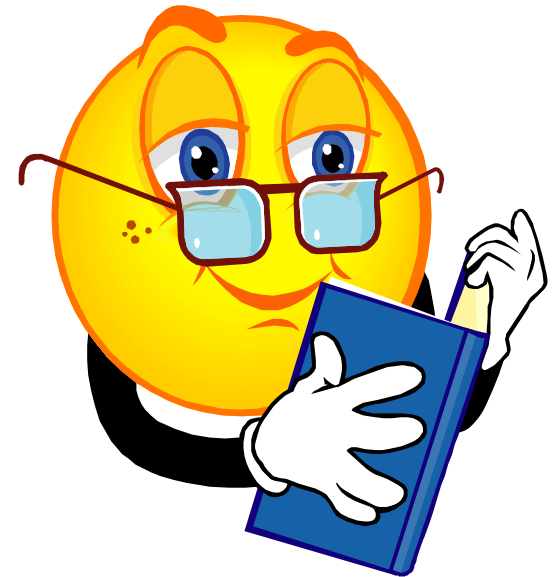
William Frederick Friedman (1891 – 1969)

- ▶ US Army cryptographer who ran the research division of the Army's Signals Intelligence Service (SIS) in the 1930s, and parts of its follow-on services into the 1950s.
- ▶ In 1940, people from his group, led by Frank Rowlett broke Japan's PURPLE cipher machine



Take home lessons

- ▶ Shift ciphers are easy to break using brute force attacks, they have small key space
- ▶ Substitution ciphers preserve language features and are vulnerable to frequency analysis attacks
- ▶ Vigenère cipher is vulnerable: once the key length is found, a cryptanalyst can apply frequency analysis



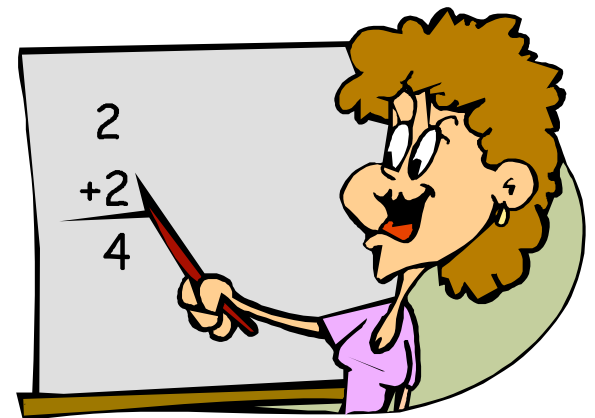
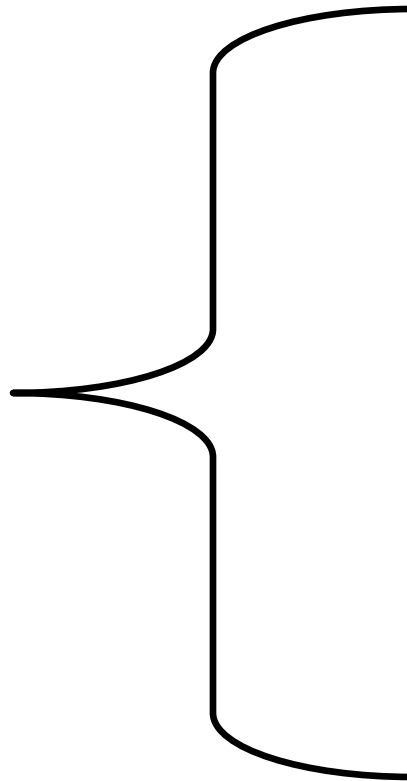
2: One-time Pad, information theoretic security, and stream ciphers

Readings for this lecture

- Required reading from wikipedia
 - [One-Time Pad](#)
 - [Information theoretic security](#)
 - [Stream cipher](#)
 - [Pseudorandom number generator](#)
- Stream ciphers on Dan Boneh's Cryptography I course on Coursera



Begin Math



Random Variable

A **discrete random variable, \mathbf{X}** , consists of a finite set X , and a probability distribution defined on X . The probability that the random variable \mathbf{X} takes on the value x is denoted $\mathbf{Pr}[\mathbf{X} = x]$; sometimes, we will abbreviate this to $\mathbf{Pr}[x]$ if the random variable \mathbf{X} is fixed. It must be that

$$0 \leq \mathbf{Pr}[x] \quad \text{for all } x \in \mathcal{X}$$

$$\sum_{x \in \mathcal{X}} \mathbf{Pr}[x] = 1$$

Example of random variables

- ▶ Let random variable \mathbf{D}_1 denote the outcome of throwing one die (with numbers 0 to 5 on the 6 sides) randomly, then $D=\{0,1,2,3,4,5\}$ and $\mathbf{Pr}[\mathbf{D}_1=i] = 1/6$ for $0 \leq i \leq 5$
- ▶ Let random variable \mathbf{D}_2 denote the outcome of throwing a second such die randomly
- ▶ Let random variable \mathbf{S}_1 denote the sum of the two dice, then $S = \{0,1,2,\dots,10\}$, and
$$\mathbf{Pr}[\mathbf{S}_1=0] = \mathbf{Pr}[\mathbf{S}_1=10] = 1/36$$
$$\mathbf{Pr}[\mathbf{S}_1=1] = \mathbf{Pr}[\mathbf{S}_1=9] = 2/36 = 1/18$$
$$\dots$$
- ▶ Let random variable \mathbf{S}_2 denote the sum of the two dice modulo 6, what is the distribution of \mathbf{S}_2 ?

Relationships between random variables

Assume **X** and **Y** are two random variables,
then we define:

- **joint probability**: $\Pr[x, y]$ is the probability that **X** takes value x and **Y** takes value y .
- **conditional probability**: $\Pr[x|y]$ is the probability that **X** takes value x given that **Y** takes value y .

$$\Pr[x|y] = \Pr[x, y] / \Pr[y]$$

- **independent random variables**: **X** and **Y** are said to be independent if $\Pr[x, y] = \Pr[x]P[y]$, for all $x \in X$ and all $y \in Y$.

Examples

- ▶ Joint probability of \mathbf{D}_1 and \mathbf{D}_2 for $0 \leq i, j \leq 5$, $\Pr[\mathbf{D}_1=i, \mathbf{D}_2=j] = ?$
- ▶ What is the conditional probability $\Pr[\mathbf{D}_1=i \mid \mathbf{D}_2=j]$ for $0 \leq i, j \leq 5$?
- ▶ Are \mathbf{D}_1 and \mathbf{D}_2 independent?
- ▶ Suppose \mathbf{D}_1 is plaintext and \mathbf{D}_2 is key, and \mathbf{S}_1 and \mathbf{S}_2 are ciphertexts of two different ciphers, which cipher would you use?

Practice exercises

- ▶ What is the joint probability of \mathbf{D}_1 and \mathbf{S}_1 ?
- ▶ What is the joint probability of \mathbf{D}_2 and \mathbf{S}_2 ?
- ▶ What is the conditional probability
 $\Pr[\mathbf{S}_1=s \mid \mathbf{D}_1=i]$ for $0 \leq i \leq 5$ and $0 \leq s \leq 10$?
- ▶ What is the conditional probability
 $\Pr[\mathbf{D}_1=i \mid \mathbf{S}_2=s]$ for $0 \leq i \leq 5$ and $0 \leq s \leq 5$?
- ▶ Are \mathbf{D}_1 and \mathbf{S}_1 independent?
- ▶ Are \mathbf{D}_1 and \mathbf{S}_2 independent?

Bayes' Theorem

If $P[y] > 0$ then

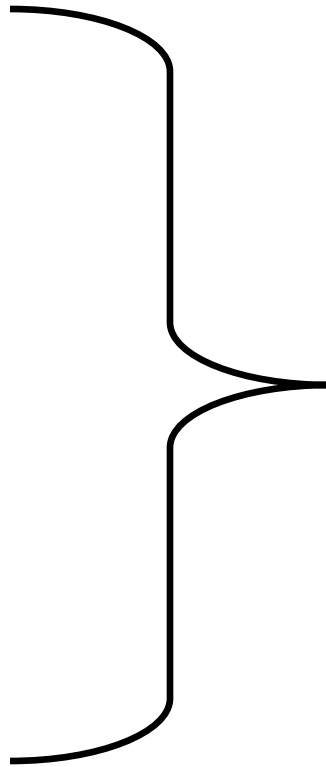
$$P[x | y] = \frac{P[x]P[y | x]}{P[y]}$$

$$P[y] = \sum_{x \in X} P[x, y] = \sum_{x \in X} P[x]P[y | x]$$

Corollary

X and Y are independent random variables iff $P[x|y] = P[x]$, for all $x \in X$ and all $y \in Y$.

End Math

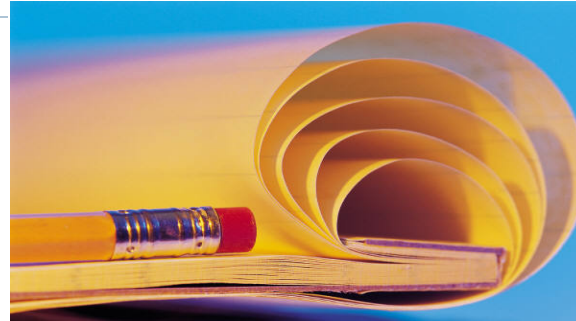


One-Time Pad

- ▶ Fix the vulnerability of the Vigenere cipher by using very long keys
- ▶ Key is a random string that is at least as long as the plaintext
- ▶ Encryption is similar to shift cipher
- ▶ Invented by Vernam in the 1920s

One-Time Pad

Let $Z_m = \{0, 1, \dots, m-1\}$ be
the alphabet.



Plaintext space = Ciphertext space = Key space = $(Z_m)^n$

The key is chosen uniformly randomly

Plaintext $X = (x_1 \ x_2 \ \dots \ x_n)$

Key $K = (k_1 \ k_2 \ \dots \ k_n)$

Ciphertext $Y = (y_1 \ y_2 \ \dots \ y_n)$

$e_k(X) = (x_1 + k_1 \ x_2 + k_2 \ \dots \ x_n + k_n) \bmod m$

$d_k(Y) = (y_1 - k_1 \ y_2 - k_2 \ \dots \ y_n - k_n) \bmod m$

Binary version of One-Time Pad

Plaintext space = Ciphertext space =

Keyspace = $\{0,1\}^n$

Key is chosen randomly

For example:

- ▶ Plaintext is 11011011
- ▶ Key is 01101001
- ▶ Then ciphertext is 10110010

Bit operators

- ▶ Bit AND

$$0 \wedge 0 = 0 \quad 0 \wedge 1 = 0 \quad 1 \wedge 0 = 0 \quad 1 \wedge 1 = 1$$

- ▶ Bit OR

$$0 \vee 0 = 0 \quad 0 \vee 1 = 1 \quad 1 \vee 0 = 1 \quad 1 \vee 1 = 1$$

- ▶ Addition mod 2 (also known as Bit XOR)

$$0 \oplus 0 = 0 \quad 0 \oplus 1 = 1 \quad 1 \oplus 0 = 1 \quad 1 \oplus 1 = 0$$

- ▶ Can we use operators other than Bit XOR for binary version of One-Time Pad?

How good is One-Time Pad?

- ▶ Intuitively, it is secure ...
 - ▶ The key is random, so the ciphertext is completely random
- ▶ How to formalize the confidentiality requirement?
 - ▶ Want to say “certain thing” is not learnable by the adversary (who sees the ciphertext). But what is the “certain thing”?
- ▶ Which (if any) of the following is the correct answer?
 - ▶ The key.
 - ▶ The plaintext.
 - ▶ Any bit of the plaintext.
 - ▶ Any information about the plaintext.
 - ▶ E.g., the first bit is 1, the parity is 0, or that the plaintext is not “aaaa”, and so on

Shannon (information-theoretic) Security = Perfect Secrecy

Basic idea: Ciphertext should reveal no “information” about Plaintext

Definition.

An encryption over a message space \mathbf{M} is perfectly secure if

\forall probability distribution over \mathbf{M}

\forall message $m \in \mathbf{M}$

\forall ciphertext $c \in \mathbf{C}$ for which $\Pr[C=c] > 0$

We have

$$\Pr [PT=m \mid CT=c] = \Pr [PT = m]$$

Explanation of the definition

- ▶ $\Pr [\mathbf{PT} = m]$ is what the adversary believes the probability that the plaintext is m , before seeing the ciphertext
- ▶ $\Pr [\mathbf{PT} = m \mid \mathbf{CT}=c]$ is what the adversary believes after seeing that the ciphertext is c
- ▶ $\Pr [\mathbf{PT}=m \mid \mathbf{CT}=c] = \Pr [\mathbf{PT} = m]$ means that after knowing that the ciphertext is C_0 , the adversary's belief does not change

Equivalent definition of Perfect Secrecy

Definition. An encryption scheme over a message space M is perfectly secure if \forall probability distribution over M , the random variables PT and CT are independent. That is,

\forall message $m \in M$

\forall ciphertext $c \in C$

$$\Pr [PT=m \wedge CT=c] = \Pr [PT = m] \Pr [CT = c]$$

Note that this is equivalent to: When $\Pr [CT = c] \neq 0$, we have

$$\Pr [PT = m] = \Pr [PT=m \wedge CT=c] / \Pr [CT = c] = \Pr [PT=m | CT=c]$$

This is also equivalent to: When $\Pr [PT = m] \neq 0$, we have

$$\Pr [CT = c] = \Pr [PT=m \wedge CT=c] / \Pr [PT = m] = \Pr [CT=c | PT=m]$$

Example for information theoretical security

- ▶ Consider an example of encrypting the result of a 6-side dice (1 to 6).
 - ▶ Method 1: randomly generate $K=[0..5]$, ciphertext is $\text{result} + K$.
 - ▶ What is plaintext distribution? After seeing that the ciphertext is 6, what could be the plaintext. After seeing that the ciphertext is 11, what could be the plaintext?
 - ▶ Method 2: randomly generate $K=[0..5]$, ciphertext is $(\text{result} + K) \bmod 6$.
 - ▶ Same questions.
 - ▶ Can one do a brute-force attack?

Perfect secrecy

- ▶ Fact: When keys are uniformly chosen in a cipher, the cipher has perfect secrecy iff. the number of keys encrypting M to C is the same for any (M, C)
 - ▶ This implies that
$$\forall c \forall m_1 \forall m_2 \Pr[\mathbf{CT}=c \mid \mathbf{PT}=m_1] = \Pr[\mathbf{CT}=c \mid \mathbf{PT}=m_2]$$
- ▶ One-time pad has perfect secrecy when limited to messages over the same length (**Proof?**)

Key randomness in One-Time Pad

- ▶ One-Time Pad uses a very long key, what if the key is not chosen randomly, instead, texts from, e.g., a book are used as keys.
 - ▶ this is not One-Time Pad anymore
 - ▶ this does not have perfect secrecy
 - ▶ this can be broken
 - ▶ **How?**
- ▶ The key in One-Time Pad should never be reused.
 - ▶ If it is reused, it is Two-Time Pad, and is insecure!
 - ▶ **Why?**

Usage of One-Time Pad

- ▶ To use one-time pad, one must have keys as long as the messages.
- ▶ To send messages totaling certain size, sender and receiver must agree on a shared secret key of that size.
 - ▶ typically by sending the key over a secure channel
- ▶ This is difficult to do in practice.
- ▶ Can't one use the channel for send the key to send the messages instead?
- ▶ Why is OTP still useful, even though difficult to use?

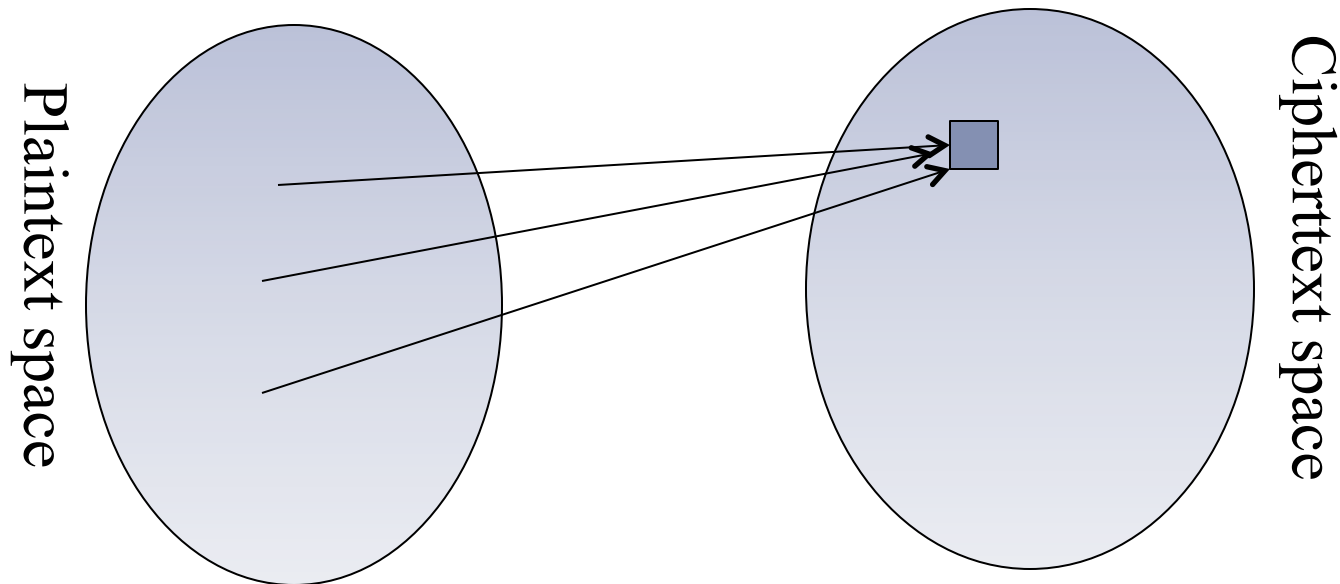
Usage of One-Time Pad

- ▶ The channel for distributing keys may exist at a different time from when one has messages to send.
- ▶ The channel for distributing keys may have the property that keys can be leaked, but such leakage will be detected
 - ▶ Such as in Quantum cryptography

The “bad news” theorem for Perfect Secrecy

- ▶ Question: OTP requires key as long as messages, is this an inherent requirement for achieving perfect secrecy?
- ▶ Answer. Yes. Perfect secrecy implies that $\text{key-length} \geq \text{msg-length}$

Proof:



- ▶ Implication: Perfect secrecy difficult to achieve in practice

Stream ciphers

- ▶ In One-Time Pad, a key is a random string of length at least the same as the message
- ▶ Stream ciphers:
 - ▶ Idea: replace “rand” by “pseudo rand”
 - ▶ Use Pseudo Random Number Generator
 - ▶ PRNG: $\{0,1\}^s \rightarrow \{0,1\}^n$
 - ▶ expand a short (e.g., 128-bit) random seed into a long (e.g., 10^6 bit) string that “looks random”
 - ▶ Secret key is the seed
 - ▶ $E_{\text{key}}[M] = M \oplus \text{PRNG}(\text{key})$

The RC4 stream cipher

- ▶ A proprietary cipher owned by RSA, designed by Ron Rivest in 1987.
- ▶ Became public in 1994.
- ▶ Simple and effective design.
- ▶ Variable key size (typical 40 to 256 bits),
- ▶ Output unbounded number of bytes.
- ▶ Widely used (web SSL/TLS, wireless WEP).
- ▶ Extensively studied, not a completely secure PRNG, first part of output biased, when used as stream cipher, should use RC4-Drop[n]
 - ▶ Which drops first n bytes before using the output
 - ▶ Conservatively, set $n=3072$

Pseudo-random number generator

- ▶ Useful for cryptography, simulation, randomized algorithm, etc.
 - ▶ Stream ciphers, generating session keys
- ▶ The same seed always gives the same output stream
 - ▶ **Why is this necessary for stream ciphers?**
- ▶ Simulation requires uniform distributed sequences
 - ▶ E.g., having a number of statistical properties
- ▶ **Cryptographically secure pseudo-random number generator** requires unpredictable sequences
 - ▶ satisfies the "next-bit test": given consecutive sequence of bits output (but not seed), next bit must be hard to predict
- ▶ Some PRNG's are weak: knowing output sequence of sufficient length, can recover key.
- ▶ ⁶³ ▶ Do not use these for cryptographic purposes

Properties of stream ciphers

- ▶ Typical stream ciphers are very fast
- ▶ Widely used, often incorrectly
 - ▶ Content Scrambling System (uses Linear Feedback Shift Registers incorrectly),
 - ▶ Wired Equivalent Privacy (uses RC4 incorrectly)
 - ▶ SSL (uses RC4, SSLv3 has no known major flaw)

Security properties of stream ciphers

- ▶ Under known plaintext, chosen plaintext, or chosen ciphertext, the adversary knows the key stream (i.e., $\text{PRNG}(\text{key})$)
 - ▶ Security depends on PRNG
 - ▶ PRNG must be “unpredictable”
- ▶ Do stream ciphers have perfect secrecy?
- ▶ **How to break a stream cipher in a brute-force way?**
- ▶ If the same key stream is used twice, then easy to break.
 - ▶ This is a fundamental weakness of stream ciphers; it exists even if the PRNG used in the ciphers is strong

Using stream ciphers in practice

- ▶ If the same key stream is used twice, then easy to break.
 - ▶ This is a fundamental weakness of stream ciphers; it exists even if the PRNG used in the ciphers is strong
- ▶ In practice, one key is used to encrypt many messages
 - ▶ Example: Wireless communication
 - ▶ Solution: Use Initial vectors (IV).
 - ▶ $E_{\text{key}}[M] = [IV, M \oplus \text{PRNG}(\text{key} || IV)]$
 - ▶ IV is sent in clear to receiver;
 - ▶ IV needs integrity protection, but not confidentiality protection
 - ▶ IV ensures that key streams do not repeat, but does not increase cost of brute-force attacks
 - ▶ Without key, knowing IV still cannot decrypt
 - ▶ **Need to ensure that IV never repeats! How?**

Take home lessons

- ▶ OTP has perfect forward secrecy if key is used once, is random and as long as the message
- ▶ One has to be very careful with how he uses stream ciphers: if the keystream repeats then it's easy to decrypt all messages encrypted with the keystream



3: Semantic security, block ciphers and encryption modes

Readings for this lecture

- Required reading from wikipedia
 - Block Cipher
 - Ciphertext Indistinguishability
 - Block cipher modes of operation



Notation for symmetric-key encryption

- ▶ A symmetric-key encryption scheme is comprised of three algorithms
 - ▶ **Gen** the key generation algorithm
 - ▶ The algorithm must be probabilistic/randomized
 - ▶ Output: a key k
 - ▶ **Enc** the encryption algorithm
 - ▶ Input: key k , plaintext m
 - ▶ Output: ciphertext $c := \mathbf{Enc}_k(m)$
 - ▶ **Dec** the decryption algorithm
 - ▶ Input: key k , ciphertext c
 - ▶ Output: plaintext $m := \mathbf{Dec}_k(m)$

Requirement: $\forall k \forall m [\mathbf{Dec}_k(\mathbf{Enc}_k(m)) = m]$

Randomized vs. deterministic encryption

- ▶ Encryption can be randomized,
 - ▶ i.e., same message, same key, run encryption algorithm twice, obtains two different ciphertexts
 - ▶ E.g, $\text{Enc}_k[m] = (r, \text{PRNG}[k||r] \oplus m)$, i.e., the ciphertext includes two parts, a randomly generated r , and a second part
 - ▶ Ciphertext space can be arbitrarily large
- ▶ Decryption is deterministic in the sense that
 - ▶ For the same ciphertext and same key, running decryption algorithm twice always result in the same plaintext
- ▶ Each key induces a one-to-many mapping from plaintext space to ciphertext space
 - ▶ Corollary: ciphertext space must be equal to or larger than plaintext space

Towards computational security

- ▶ Perfect secrecy is too difficult to achieve.
- ▶ Computational security uses two relaxations:
 - ▶ Security is preserved only against **efficient** (computationally bounded) adversaries
 - ▶ Adversary can only run in feasible amount of time
 - ▶ Adversaries can potentially succeed with some **very small probability** (that we can ignore the case it actually happens)
- ▶ Two approaches to formalize computational security: concrete and asymptotic

The concrete approach

- ▶ Quantifies the security by explicitly bounding the maximum success probability of adversary running with certain time:
 - ▶ “A scheme is (t, ϵ) -secure if **every** adversary running for time at most t succeeds in breaking the scheme with probability at most ϵ ”
- ▶ Example: a strong encryption scheme with n -bit keys may be expected to be $(t, t/2^n)$ -secure.
 - ▶ $N=128, t=2^{60}$, then $\epsilon = 2^{-68}$. (# of seconds since big bang is 2^{58})
- ▶ Makes more sense with symmetric encryption schemes because they use fixed key lengths.

The asymptotic approach

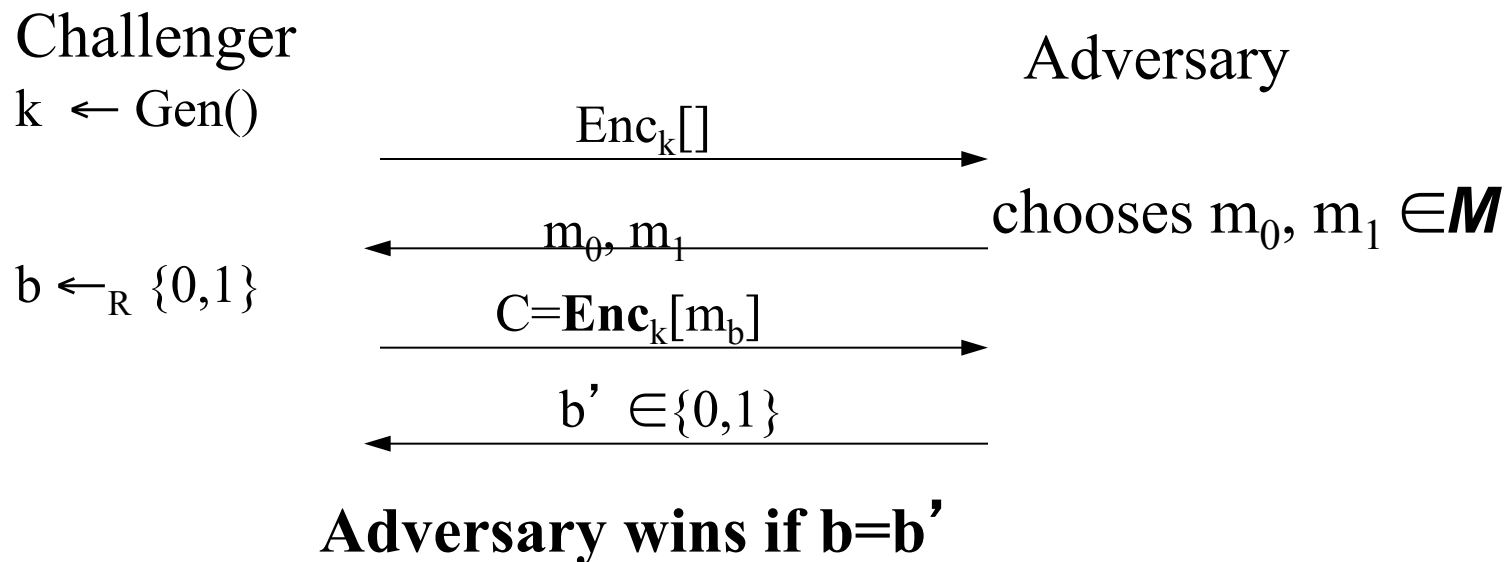
- ▶ A cryptosystem has a security parameter
 - ▶ E.g., number of bits in the RSA algorithm (1024,2048,...)
- ▶ Typically, the key length depends on the security parameter
 - ▶ The bigger the security parameter, the longer the key, the more time it takes to use the cryptosystem, and the more difficult it is to break the scheme
- ▶ The crypto system must be efficient, i.e., runs in time polynomial in the security parameter
- ▶ “A scheme is secure if every Probabilistic Polynomial Time (PPT) algorithm succeeds in breaking the scheme with only negligible probability”
 - ▶ “negligible” roughly means goes to 0 exponentially fast as the security parameter increases

Defining security

- ▶ Desire “semantic security”, i.e., having access to the ciphertext does not help adversary to compute any function of the plaintext.
 - ▶ Difficult to use
- ▶ Equivalent notion: Adversary cannot distinguish between the ciphertexts of two plaintexts

Towards IND-CPA security

- ▶ Ciphertext Indistinguishability under a Chosen-Plaintext Attack:
Define the following IND-CPA experiment :
- ▶ Involving an Adversary and a Challenger
- ▶ Instantiated with an Adversary algorithm A , and an encryption scheme $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$



The IND-CPA experiment explained

- ▶ A k is generated by $\text{Gen}()$
- ▶ Adversary is given oracle access to $\text{Enc}_k(\cdot)$,
 - ▶ Oracle access: one gets its question answered without knowing any additional information
- ▶ Adversary outputs a pair of equal-length messages m_0 and m_1
- ▶ A random bit b is chosen, and adversary is given $\text{Enc}_k(m_b)$
 - ▶ Called the challenge ciphertext
- ▶ Adversary does any computation it wants, while still having oracle access to $\text{Enc}_k(\cdot)$, and outputs b'
- ▶ Adversary wins if $b=b'$

CPA-secure (aka IND-CPA security)

- A encryption scheme $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$ has indistinguishable encryption under a chosen-plaintext attack (i.e., is IND-CPA secure) iff. for all PPT adversary A , there exists a negligible function negl such that
 - $\Pr[A \text{ wins in IND-CPA experiment}] \leq 1/2 + \text{negl}(n)$
- ▶ No deterministic encryption scheme is CPA-secure.
Why?

Another (equivalent) explanation of IND-CPA security

- ▶ Ciphertext indistinguishability under chosen plaintext attack (IND-CPA)
 - ▶ Challenger chooses a random key K
 - ▶ Adversary chooses a number of messages and obtains their ciphertexts under key K
 - ▶ Adversary chooses two equal-length messages m_0 and m_1 , sends them to a Challenger
 - ▶ Challenger generates $C = E_K[m_b]$, where b is a uniformly randomly chosen bit, and sends C to the adversary
 - ▶ Adversary outputs b' and wins if $b = b'$
 - ▶ Adversary advantage is $|\Pr[\text{Adv wins}] - 1/2|$
 - ▶ Adversary should not have a non-negligible advantage
 - ▶ E.g, Less than, e.g., $1/2^{80}$ when the adversary is limited to certain amount of computation;
 - ▶ decreases exponentially with the security parameter (typically length of the key)

Intuition of IND-CPA security

- ▶ Perfect secrecy means that any plaintext is encrypted to a given ciphertext with the same probability, i.e., given any pair of M_0 and M_1 , the probabilities that they are encrypted into a ciphertext C are the same
 - ▶ Hence no adversary can tell whether C is ciphertext of M_0 or M_1 .
- ▶ IND-CPA means
 - ▶ With bounded computational resources, the adversary cannot tell which of M_0 and M_1 is encrypted in C
- ▶ Stream ciphers can be used to achieve IND-CPA security when the underlying PRNG is cryptographically strong
 - ▶ (i.e., generating sequences that cannot be distinguished from random, even when related seeds are used)

Computational security vs. information theoretic security

- ▶ If a cipher has only computational security, then it can be broken by a brute force attack, e.g., enumerating all possible keys
 - ▶ Weak algorithms can be broken with much less time
- ▶ How to prove computational security?
 - ▶ Assume that some problems are hard (requires a lot of computational resources to solve), then show that breaking security means solving the problem
- ▶ Computational security is foundation of modern cryptography.

Why block ciphers?

- ▶ One thread of defeating frequency analysis
 - ▶ Use different keys in different locations
 - ▶ Example: one-time pad, stream ciphers
- ▶ Another way to defeat frequency analysis
 - ▶ Make the unit of transformation larger, rather than encrypting letter by letter, encrypting block by block
 - ▶ Example: block cipher

Block ciphers

- ▶ An n -bit plaintext is encrypted to an n -bit ciphertext
 - ▶ $P : \{0,1\}^n$
 - ▶ $C : \{0,1\}^n$
 - ▶ $K : \{0,1\}^s$
 - ▶ $\mathbf{E}: K \times P \rightarrow C : E_k$: a permutation on $\{0,1\}^n$
 - ▶ $\mathbf{D}: K \times C \rightarrow P : D_k$ is E_k^{-1}
 - ▶ Block size: n
 - ▶ Key size: s

Data Encryption Standard (DES)

- ▶ Designed by IBM, with modifications proposed by the National Security Agency
- ▶ US national standard from 1977 to 2001
- ▶ De facto standard
- ▶ Block size is 64 bits;
- ▶ Key size is 56 bits
- ▶ Has 16 rounds
- ▶ Designed mostly for hardware implementations
 - ▶ Software implementation is somewhat slow
- ▶ Considered insecure now
 - ▶ vulnerable to brute-force attacks

Attacking block ciphers

- ▶ Types of attacks to consider
 - ▶ **known plaintext**: given several pairs of plaintexts and ciphertexts, recover the key (or decrypt another block encrypted under the same key)
 - ▶ **how would chosen plaintext and chosen ciphertext be defined?**
- ▶ Standard attacks
 - ▶ exhaustive key search
 - ▶ dictionary attack
 - ▶ differential cryptanalysis, linear cryptanalysis
- ▶ Side channel attacks.

DES' s main vulnerability is short key size.

Chosen-plaintext dictionary attacks against block ciphers

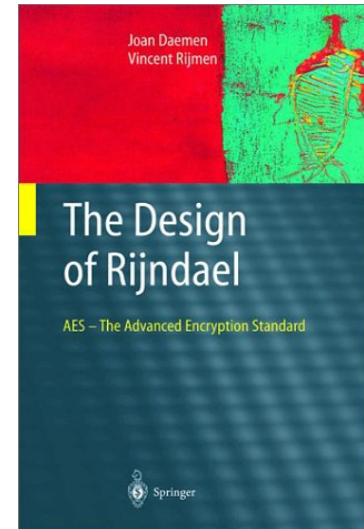
- ▶ Construct a table with the following entries
 - ▶ $(K, E_K[0])$ for all possible key K
 - ▶ Sort based on the second field (ciphertext)
 - ▶ How much time does this take?
- ▶ To attack a new key K (under chosen message attacks)
 - ▶ Choose 0, obtain the ciphertext C , looks up in the table, and finds the corresponding key
 - ▶ How much time does this step take?
- ▶ Trade off space for time

Advanced Encryption Standard

- ▶ In 1997, NIST made a formal call for algorithms stipulating that the AES would specify an **unclassified, publicly disclosed encryption algorithm, available royalty-free, worldwide.**
- ▶ Goal: replace DES for both government and private-sector encryption.
- ▶ The algorithm must implement symmetric key cryptography as a block cipher and (at a minimum) support **block sizes of 128-bits and key sizes of 128-, 192-, and 256-bits.**
- ▶ In 1998, NIST selected 15 AES candidate algorithms.
- ▶ On October 2, 2000, NIST selected **Rijndael** (invented by Joan Daemen and Vincent Rijmen) to as the AES.

AES features

- ▶ Designed to be efficient in both hardware and software across a variety of platforms.
- ▶ Block size: 128 bits
- ▶ Variable key size: **128, 192, or 256 bits.**
- ▶ No known weaknesses



Need for Encryption Modes

- ▶ A block cipher encrypts only one block
- ▶ Needs a way to extend it to encrypt an arbitrarily long message
- ▶ Want to ensure that if the block cipher is secure, then the encryption is secure
- ▶ Aims at providing Semantic Security (**IND-CPA**) assuming that the underlying block ciphers are strong

Block Cipher Encryption Modes: ECB

- ▶ Message is broken into independent blocks;
- ▶ **Electronic Code Book (ECB)**: each block encrypted separately.
- ▶ **Encryption: $c_i = E_k(x_i)$**
- ▶ **Decryption: $x_i = D_k(c_i)$**

Properties of ECB

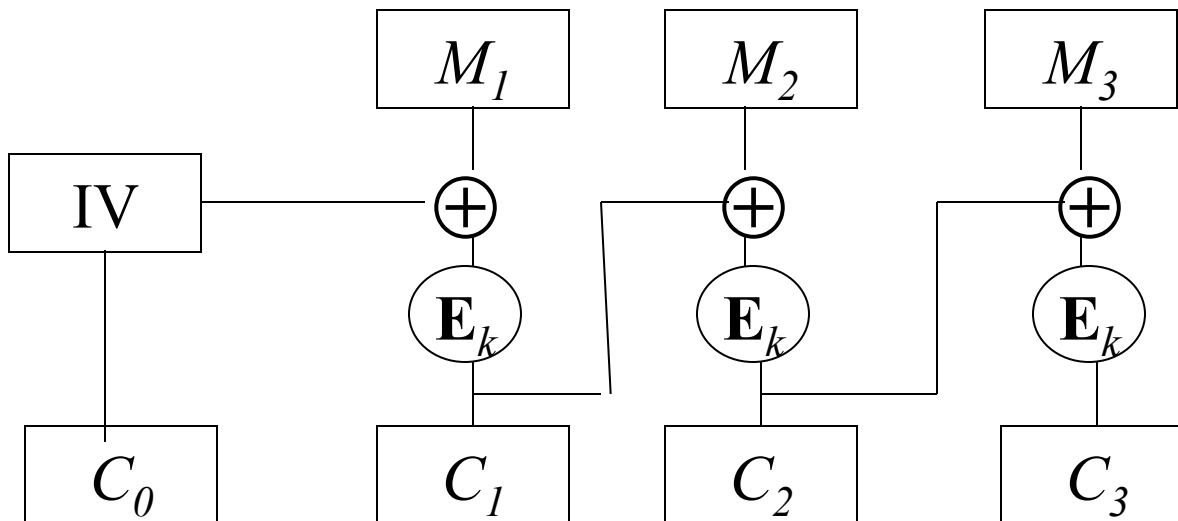
- ▶ **Deterministic:**
 - ▶ the same data block gets encrypted the same way,
 - ▶ reveals patterns of data when a data block repeats
 - ▶ when the same key is used, the same message is encrypted the same way
- ▶ Usage: not recommended to encrypt more than one block of data
- ▶ How to break the semantic security (IND-CPA) of a block cipher with ECB?

DES Encryption Modes: CBC

- ▶ **Cipher Block Chaining (CBC):**
 - ▶ Uses a random Initial Vector (IV)
 - ▶ Next input depends upon previous output

Encryption: $C_i = E_k (M_i \oplus C_{i-1})$, with $C_0 = IV$

Decryption: $M_i = C_{i-1} \oplus D_k(C_i)$, with $C_0 = IV$

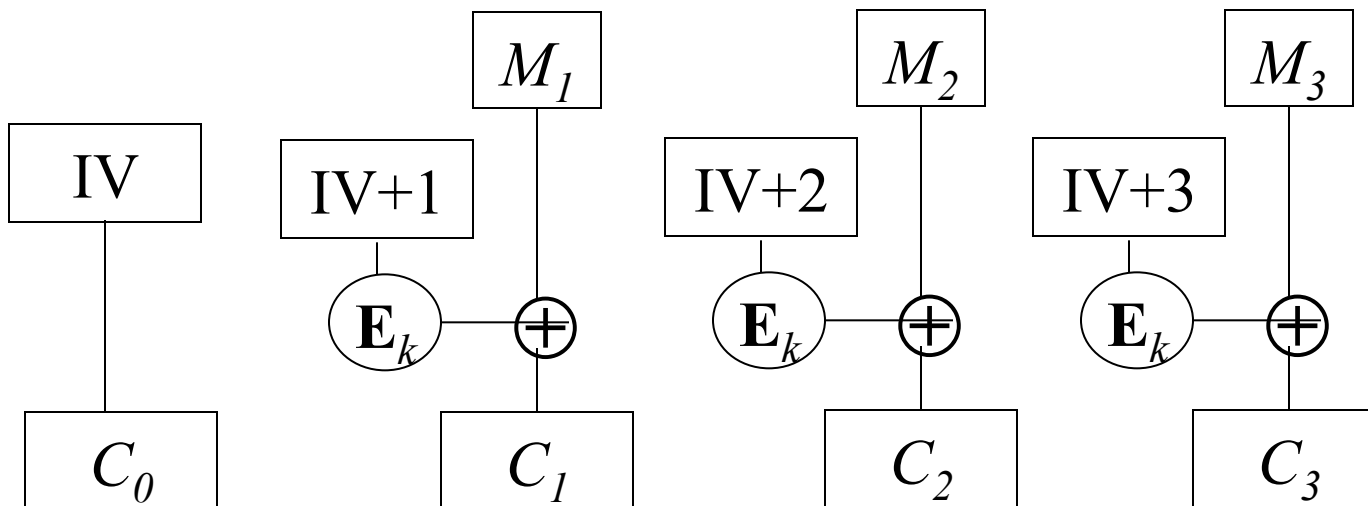


Properties of CBC

- ▶ Randomized encryption: repeated text gets mapped to different encrypted data.
 - ▶ can be proven to provide IND-CPA assuming that the block cipher is secure (i.e., it is a Pseudo Random Permutation (PRP)) and that IV's are randomly chosen and the IV space is large enough (at least 64 bits)
- ▶ Each ciphertext block depends on all preceding plaintext blocks.
- ▶ Usage: chooses **random** IV and protects the **integrity** of IV
 - ▶ The IV is not secret (it is part of ciphertext)
 - ▶ The adversary cannot control the IV

Encryption modes: CTR

- ▶ **Counter Mode (CTR):** Defines a stream cipher using a block cipher
 - ▶ Uses a random IV, known as the counter
 - ▶ Encryption: $C_0 = IV, C_i = M_i \oplus E_k[IV+i]$
 - ▶ Decryption: $IV = C_0, M_i = C_i \oplus E_k[IV+i]$



Properties of CTR

- ▶ Gives a stream cipher from a block cipher
- ▶ Randomized encryption:
 - ▶ when starting counter is chosen randomly
- ▶ Random Access: encryption and decryption of a block can be done in random order, very useful for hard-disk encryption.
 - ▶ E.g., when one block changes, re-encryption only needs to encrypt that block. In CBC, all later blocks also need to change

Take home lessons

- ▶ AES is the current standard for block ciphers
- ▶ Key size most important factor to deter brute force attacks
- ▶ Number of rounds also important to deter attacks
- ▶ When encrypting data larger than the block the encryption mode choice is crucial for the security of the encrypted data





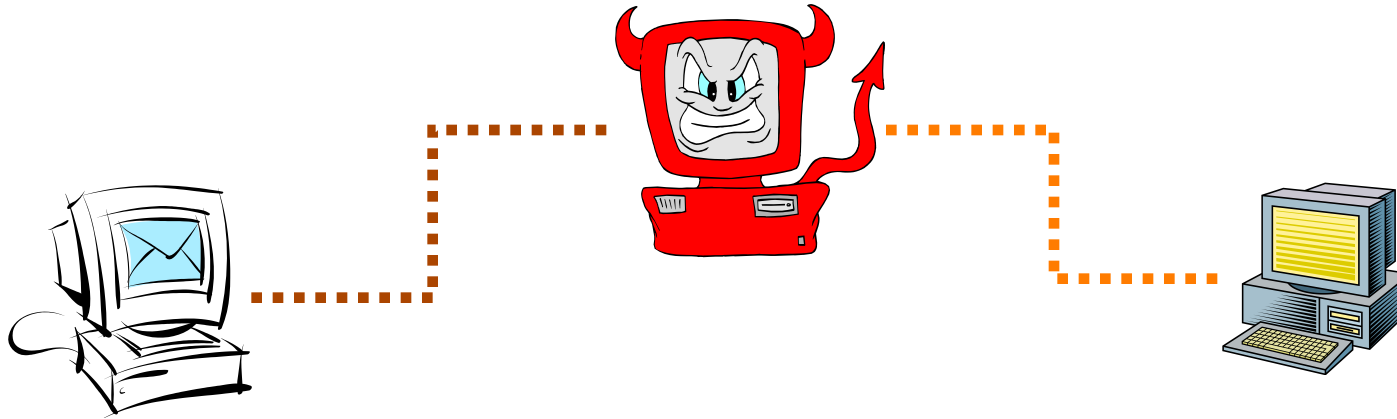
4: Cryptographic hash functions and message authentication codes

Readings for This Lecture

- Wikipedia
 - [Cryptographic Hash Functions](#)
 - [Message Authentication Code](#)



Data Integrity and Source Authentication



- Encryption does not protect data from modification by another party.
 - **Why?**
- Need a way to ensure that data arrives at destination in its original form as sent by the sender and it is coming from an authenticated source.

Hash Functions

- ▶ A hash function maps a message of an arbitrary length to a m-bit output
 - ▶ output known as the **fingerprint** or the **message digest**
- ▶ What is an example of hash functions?
 - ▶ Give a hash function that maps Strings to integers in $[0, 2^{\{32\}}-1]$
- ▶ Cryptographic hash functions are hash functions with additional security requirements

Using Hash Functions for Message Integrity

- ▶ Method 1: Uses a Hash Function h , assuming an authentic (adversary cannot modify) channel for short messages
 - ▶ Transmit a message M over the normal (insecure) channel
 - ▶ Transmit the message digest $h(M)$ over the secure channel
 - ▶ When receiver receives both M' and h , **how does the receiver check to make sure the message has not been modified?**
- ▶ **This is insecure. How to attack it?**
- ▶ A hash function is a many-to-one function, so **collisions can happen.**

Cryptographic Hash Functions

Given a function $h:X \rightarrow Y$, then we say that h is:

- ▶ **preimage resistant (one-way):**
if given $y \in Y$ it is computationally infeasible to find a value $x \in X$ s.t. $h(x) = y$
- ▶ **2-nd preimage resistant (weak collision resistant):**
if given $x \in X$ it is computationally infeasible to find a value $x' \in X$, s.t. $x' \neq x$ and $h(x') = h(x)$
- ▶ **collision resistant (strong collision resistant):**
if it is computationally infeasible to find two distinct values $x', x \in X$, s.t. $h(x') = h(x)$

Usages of Cryptographic Hash Functions

- ▶ **Software integrity**
 - ▶ E.g., tripwire
- ▶ **Timestamping**
 - ▶ How to prove that you have discovered a secret on an earlier date without disclosing it?
- ▶ **Covered later**
 - ▶ Message authentication
 - ▶ One-time passwords
 - ▶ Digital signature

Bruteforce Attacks on Hash Functions

- ▶ **Attacking one-wayness**

- ▶ Goal: given $h:X \rightarrow Y$, $y \in Y$, find x such that $h(x)=y$

- ▶ Algorithm:

- ▶ pick a random value x in X , check if $h(x)=y$, if $h(x)=y$, returns x ; otherwise iterate

- ▶ after failing q iterations, return fail

- ▶ The average-case success probability is

$$\varepsilon = 1 - \left(1 - \frac{1}{|Y|}\right)^q \approx \frac{q}{|Y|}$$

- ▶ Let $|Y|=2^m$, to get ε to be close to 0.5, $q \approx 2^{m-1}$

Bruteforce Attacks on Hash Functions

- ▶ **Attacking collision resistance**

- ▶ Goal: given h , find x, x' such that $h(x)=h(x')$
- ▶ Algorithm: pick a random set X_0 of q values in X
for each $x \in X_0$, computes $y_x = h(x)$ if
 $y_x = y_{x'}$ for some $x' \neq x$ then return (x, x') else fail
- ▶ The average success probability is

$$1 - \left(1 - \frac{1}{|Y|}\right)^{\frac{q(q-1)}{2}} \approx 1 - e^{-\frac{q(q-1)}{2|Y|}}$$

- ▶ Let $|Y|=2^m$, to get ε to be close to 0.5, $q \approx 2^{m/2}$
- ▶ This is known as the birthday attack.

Well Known Hash Functions

▶ MD5

- ▶ output 128 bits
- ▶ collision resistance completely broken by researchers in China in 2004

▶ SHA1

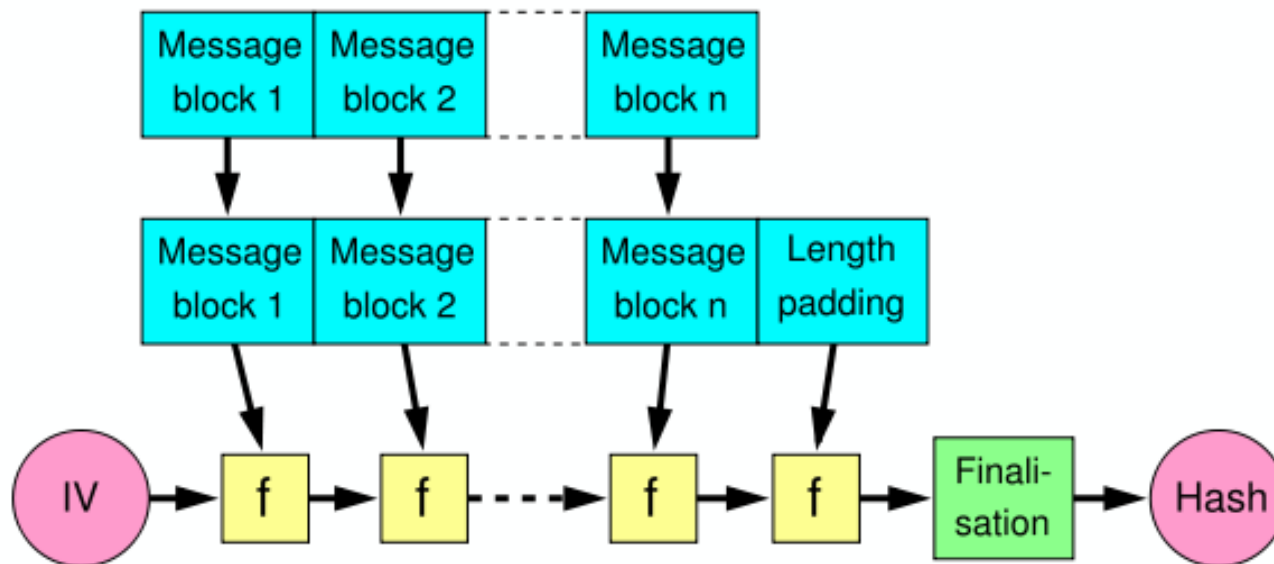
- ▶ output 160 bits
- ▶ no collision found yet, but method exist to find collisions in less than 2^{80}
- ▶ considered insecure for collision resistance
- ▶ one-wayness still holds

▶ SHA2 (SHA-224, SHA-256, SHA-384, SHA-512)

- ▶ outputs 224, 256, 384, and 512 bits, respectively
- ▶ No real security concerns yet

Merkle-Damgård Construction for Hash Functions

- Message is divided into fixed-size blocks and padded
- Uses a compression function f , which takes a chaining variable (of size of hash output) and a message block, and outputs the next chaining variable
- Final chaining variable is the hash value

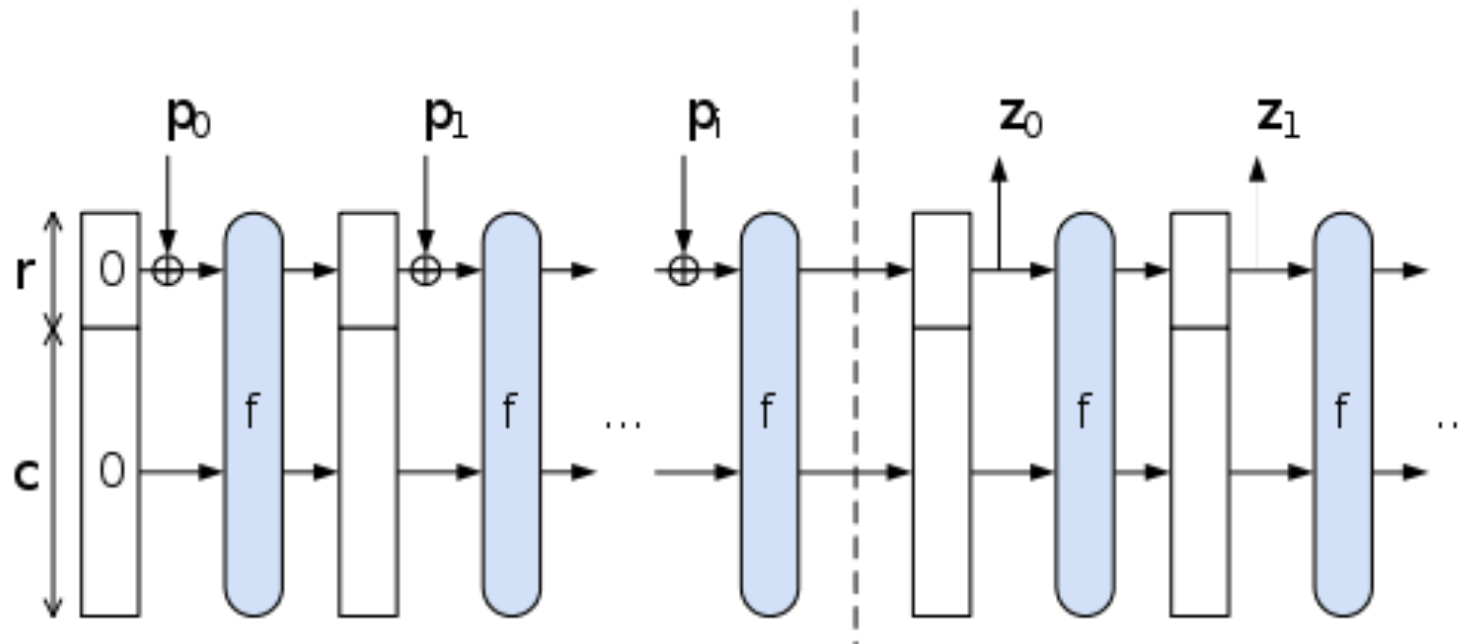


$$M = m_1 m_2 \dots m_n; C_0 = IV, C_{i+1} = f(C_i, m_i); H(M) = C_n$$

NIST SHA-3 Competition

- ▶ NIST is having an ongoing competition for SHA-3, the next generation of standard hash algorithms
- ▶ 2007: Request for submissions of new hash functions
- ▶ 2008: Submissions deadline. Received 64 entries. Announced first-round selections of 51 candidates.
- ▶ 2009: After First SHA-3 candidate conference in Feb, announced 14 Second Round Candidates in July.
- ▶ 2010: After one year public review of the algorithms, hold second SHA-3 candidate conference in Aug. Announced 5 Third-round candidates in Dec.
- ▶ 2011: Public comment for final round
- ▶ 2012: October 2, NIST selected SHA3
 - ▶ Keccak (pronounced “catch-ack”) created by Guido Bertoni, Joan Daemen and Gilles Van Assche, Michaël Peeters

The Sponge Construction: Used by SHA-3



- ▶ Each round, the next r bits of message is XOR'ed into the first r bits of the state, and a function f is applied to the state.
- ▶ After message is consumed, output r bits of each round as the hash output; continue applying f to get new states
- ▶ SHA-3 uses 1600 bits for state size

Choosing the length of Hash outputs

- ▶ The Weakest Link Principle:
 - ▶ A system is only as secure as its weakest link.
- ▶ Hence all links in a system should have similar levels of security.
- ▶ Because of the birthday attack, the length of hash outputs in general should double the key length of block ciphers
 - ▶ SHA-224 matches the 112-bit strength of triple-DES (encryption 3 times using DES)
 - ▶ SHA-256, SHA-384, SHA-512 match the new key lengths (128,192,256) in AES

for Authentication

- ▶ Require an authentic channel to transmit the hash of a message
 - ▶ Without such a channel, it is insecure, because anyone can compute the hash value of any message, as the hash function is public
 - ▶ Such a channel may not always exist
- ▶ How to address this?
 - ▶ use more than one hash functions
 - ▶ use a key to select which one to use

Hash Family

- ▶ A hash family is a four-tuple (X, Y, K, H) , where
 - ▶ X is a set of possible messages
 - ▶ Y is a finite set of possible message digests
 - ▶ K is the keyspace
 - ▶ For each $K \in K$, there is a hash function $h_K \in H$. Each $h_K: X \rightarrow Y$
- ▶ Alternatively, one can think of H as a function $K \times X \rightarrow Y$

Message Authentication Code

- ▶ A MAC scheme is a hash family, used for message authentication
- ▶ $\text{MAC}(K, M) = H_K(M)$
- ▶ The sender and the receiver share secret K
- ▶ The sender sends $(M, H_K(M))$
- ▶ The receiver receives (X, Y) and verifies that $H_K(X) = Y$, if so, then accepts the message as from the sender
- ▶ To be secure, an adversary shouldn't be able to come up with (X', Y') such that $H_K(X') = Y'$.

Security Requirements for MAC

- ▶ Resist the Existential Forgery under Chosen Plaintext Attack
 - ▶ Challenger chooses a random key K
 - ▶ Adversary chooses a number of messages M_1, M_2, \dots, M_n , and obtains $t_j = \text{MAC}(K, M_j)$ for $1 \leq j \leq n$
 - ▶ Adversary outputs M' and t'
 - ▶ Adversary wins if $\forall j \ M' \neq M_j$, and $t' = \text{MAC}(K, M')$
- ▶ Basically, adversary cannot create the MAC for a message for which it hasn't seen an MAC

Constructing MAC from Hash Functions

- ▶ Let h be a one-way hash function
- ▶ $\text{MAC}(K, M) = h(K \parallel M)$, where \parallel denote concatenation
 - ▶ Insecure as MAC
 - ▶ Because of the Merkle-Damgard construction for hash functions, given M and $t = h(K \parallel M)$, adversary can compute $M' = M \parallel \text{Pad}(M) \parallel X$ and t' , such that $h(K \parallel M') = t'$

Cryptographic Hash Functions

$$\text{HMAC}_K[M] = \text{Hash}[(K^+ \oplus \text{opad}) \parallel \text{Hash}[(K^+ \oplus \text{ipad}) \parallel M]]$$

- ▶ K^+ is the key padded (with 0) to B bytes, the input block size of the hash function
- ▶ ipad = the byte 0x36 repeated B times
- ▶ opad = the byte 0x5C repeated B times.

At high level, $\text{HMAC}_K[M] = H(K \parallel H(K \parallel M))$

HMAC Security

- ▶ If used with a secure hash functions (e.g., SHA-256) and according to the specification (key size, and use correct output), no known practical attacks against HMAC

Take home lessons

- ▶ Brute force against hash function for finding collision is $2^{m/2}$ where m is the output of the hash
- ▶ MD5 not secure as a cryptographic hash
- ▶ Recent attacks against SHA1 very strong
- ▶ The competition for a new hash function is over, SHA3 has been selected





5: Public key encryption and digital signatures

Readings for This Lecture

- ▶ Required: On Wikipedia
 - ▶ [Public key cryptography](#)
 - ▶ [RSA](#)
 - ▶ [Diffie–Hellman key exchange](#)
 - ▶ [ElGamal encryption](#)
- ▶ Required:
 - ▶ Diffie & Hellman: “New Directions in Cryptography” IEEE Transactions on Information Theory, Nov 1976.



REVIEW OF SECRET KEY (SYMMETRIC) Cryptography

- ▶ **Confidentiality**
 - ▶ stream ciphers (uses PRNG)
 - ▶ block ciphers with encryption modes
- ▶ **Integrity**
 - ▶ Cryptographic hash functions
 - ▶ Message authentication code (keyed hash functions)
- ▶ **Limitation: sender and receiver must share the same key**
 - ▶ Needs secure channel for key distribution
 - ▶ Impossible for two parties having no prior relationship
 - ▶ Needs many keys for n parties to communicate

Concept of Public Key Encryption

- ▶ Each party has a pair (K, K^{-1}) of keys:
 - ▶ K is the **public** key, and used for encryption
 - ▶ K^{-1} is the **private** key, and used for decryption
 - ▶ Satisfies $D_{K^{-1}}[E_K[M]] = M$
- ▶ Knowing the public-key K , it is computationally infeasible to compute the private key K^{-1}
 - ▶ **How to check (K, K^{-1}) is a pair?**
 - ▶ Offers only computational security. Secure PK Encryption impossible when $P=NP$, as deriving K^{-1} from K is in NP.
- ▶ The public-key K may be made publicly available, e.g., in a publicly available directory
 - ▶ Many can encrypt, only one can decrypt
- ▶ Public-key systems aka **asymmetric** crypto systems

Public Key Cryptography Early History

- ▶ Proposed by Diffie and Hellman, documented in “New Directions in Cryptography” (1976)
 - 1. Public-key encryption schemes
 - 2. Key distribution systems
 - ▶ Diffie-Hellman key agreement protocol
 - 3. Digital signature

- ▶ Public-key encryption was proposed in 1970 in a classified paper by James Ellis
 - ▶ paper made public in 1997 by the British Governmental Communications Headquarters

- ▶ Concept of digital signature is still originally due to Diffie & Hellman

Public Key Encryption Algorithms

- ▶ Almost all public-key encryption algorithms use either number theory and modular arithmetic, or elliptic curves
- ▶ RSA
 - ▶ based on the hardness of factoring large numbers
- ▶ El Gamal
 - ▶ Based on the hardness of solving discrete logarithm
 - ▶ Use the same idea as Diffie-Hellman key agreement

Diffie-Hellman Key Agreement Protocol

Not a Public Key Encryption system, but can allow A and B to agree on a shared secret in a public channel (against passive, i.e., eavesdropping only adversaries)

Setup: p prime and g generator of \mathbb{Z}_p^* , p and g public.



$$g^a \bmod p$$

$$g^b \bmod p$$

Pick random, secret a

Compute and send $g^a \bmod p$

$$K = (g^b \bmod p)^a = g^{ab} \bmod p$$

Pick random, secret b

Compute and send $g^b \bmod p$

$$K = (g^a \bmod p)^b = g^{ab} \bmod p$$

Diffie-Hellman

- ▶ Example: Let $p=11$, $g=2$, then

a	1	2	3	4	5	6	7	8	9	10	11
g^a	2	4	8	16	32	64	128	256	512	1024	2048
$g^a \bmod p$	2	4	8	5	10	9	7	3	6	1	2

A chooses 4, B chooses 3, then shared secret is

$$(2^3)^4 = (2^4)^3 = 2^{12} = 4 \pmod{11}$$

Adversaries sees $2^3=8$ and $2^4=5$, needs to solve one of $2^x=8$ and $2^y=5$ to figure out the shared secret.

Three Problems Believed to be Hard to Solve

- ▶ **Discrete Log (DLG) Problem:** Given $\langle g, h, p \rangle$, computes a such that $g^a = h \pmod{p}$.
- ▶ **Computational Diffie Hellman (CDH) Problem:** Given $\langle g, g^a \pmod{p}, g^b \pmod{p} \rangle$ (without a, b) compute $g^{ab} \pmod{p}$.
- ▶ **Decision Diffie Hellman (DDH) Problem:** distinguish (g^a, g^b, g^{ab}) from (g^a, g^b, g^c) , where a, b, c are randomly and independently chosen
- ▶ If one can solve the DL problem, one can solve the CDH problem. If one can solve CDH, one can solve DDH.

Assumptions

- ▶ DDH Assumption: DDH is hard to solve.
- ▶ CDH Assumption: CDH is hard to solve.
- ▶ DLG Assumption: DLG is hard to solve

- ▶ DDH assumed difficult to solve for large p (e.g., at least 1024 bits).
- ▶ Warning:
 - ▶ New progress by Joux means solving discrete log for p values with some property can be done quite fast.
 - ▶ Look out when you need to use/implement public key crypto
 - ▶ May want to consider Elliptic Curve-based algorithms

ElGamal Encryption

- Public key $\langle g, p, h = g^a \bmod p \rangle$
- Private key is a
- To encrypt: chooses random b , computes $C = [g^b \bmod p, g^{ab} * M \bmod p]$.
 - Idea: for each M , sender and receiver establish a shared secret g^{ab} via the DH protocol. The value g^{ab} hides the message M by multiplying it.
- To decrypt $C = [c_1, c_2]$, computes M where
 - $((c_1^a \bmod p) * M) \bmod p = c_2$.
 - To find M for $x * M \bmod p = c_2$, compute z s.t. $x * z \bmod p = 1$, and then $M = C_2 * z \bmod p$
- ▶ CDH assumption ensures M cannot be fully recovered.
- ▶ IND-CPA security requires DDH.

RSA Algorithm

- ▶ Invented in **1978** by Ron **R**ivest, Adi **S**hamir and Leonard **A**dleman
 - ▶ Published as R L Rivest, A Shamir, L Adleman, "*On Digital Signatures and Public Key Cryptosystems*", Communications of the ACM, vol 21 no 2, pp120-126, Feb 1978
- ▶ Security relies on the difficulty of factoring large composite numbers
- ▶ Essentially the same algorithm was discovered in 1973 by Clifford Cocks, who works for the British intelligence

RSA Public Key Crypto System

Key generation:

1. Select 2 large prime numbers of about the same size, p and q

Typically each p, q has between 512 and 2048 bits

2. Compute $n = pq$, and $\Phi(n) = (q-1)(p-1)$

3. Select e , $1 < e < \Phi(n)$, s.t. $\gcd(e, \Phi(n)) = 1$

Typically $e=3$ or $e=65537$

4. Compute d , $1 < d < \Phi(n)$ s.t. $ed \equiv 1 \pmod{\Phi(n)}$

Knowing $\Phi(n)$, d easy to compute.

Public key: (e, n)

Private key: d

RSA Description (cont.)

Encryption

Given a message M , $0 < M < n$ $M \in \mathbb{Z}_n - \{0\}$

use public key (e, n)

compute $C = M^e \bmod n$ $C \in \mathbb{Z}_n - \{0\}$

Decryption

Given a ciphertext C , use private key (d)

Compute $C^d \bmod n = (M^e \bmod n)^d \bmod n = M^{ed} \bmod n = M$

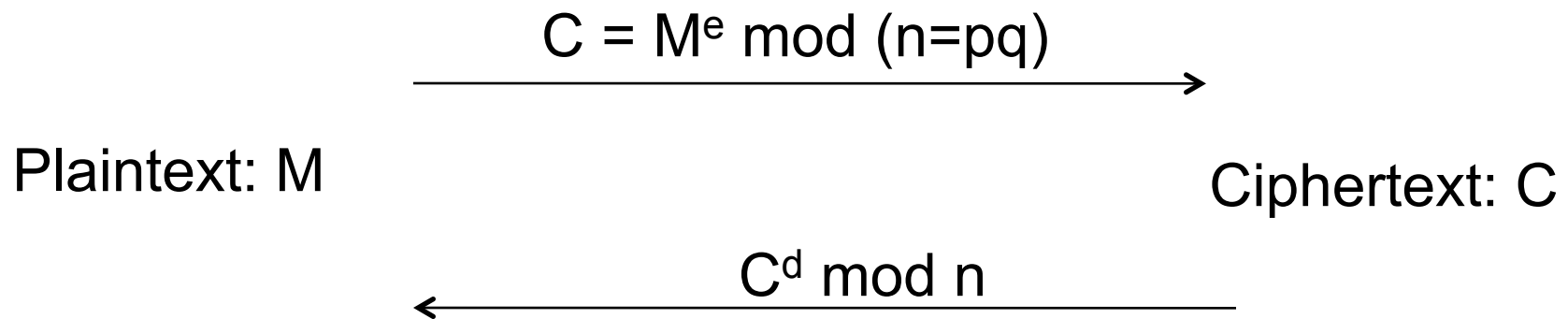
RSA Example

- ▶ $p = 11, q = 7, n = 77, \Phi(n) = 60$
- ▶ $d = 13, e = 37$ ($ed = 481; ed \bmod 60 = 1$)
- ▶ Let $M = 15$. Then $C \equiv M^e \bmod n$
 - ▶ $C \equiv 15^{37} \bmod 77 = 71$
- ▶ $M \equiv C^d \bmod n$
 - ▶ $M \equiv 71^{13} \bmod 77 = 15$

RSA Example 2

- ▶ Parameters:
 - ▶ $p = 3, q = 5, n = pq = 15$
 - ▶ $\Phi(n) = ?$
- ▶ Let $e = 3$, what is d ?
- ▶ Given $M=2$, what is C ?
- ▶ How to decrypt?

Hard Problems RSA Security Depends on



1. **Factoring Problem:** Given $n=pq$, compute p,q
2. **Finding RSA Private Key:** Given (n,e) , compute d s.t. $ed = 1 \pmod{\Phi(n)}$.
 - Known to be equivalent to Factoring problem.
 - **Implication: cannot share n among multiple users**
3. **RSA Problem:** From (n,e) and C , compute M s.t. $C = M^e$
 - Aka computing the e' th root of C .
 - Can be solved if n can be factored

RSA Security and Factoring

- ▶ Security depends on the difficulty of factoring n
 - ▶ Factor $n \Rightarrow$ compute $\Phi(n) \Rightarrow$ compute d from (e, n)
 - ▶ Knowing e, d such that $ed = 1 \pmod{\Phi(n)} \Rightarrow$ factor n
- ▶ The length of $n=pq$ reflects the strength
 - ▶ 700-bit n factored in 2007
 - ▶ 768 bit factored in 2009
- ▶ RSA encryption/decryption speed is quadratic in key length
- ▶ 1024 bit for minimal level of security today
 - ▶ likely to be breakable in near future
- ▶ Minimal 2048 bits recommended for current usage
- ▶ NIST suggests 15360-bit RSA keys are equivalent in strength to 256-bit
- ▶ Factoring is easy to break with quantum computers
- ▶ Recent progress on Discrete Logarithm may make factoring much faster

RSA Encryption & IND-CPA Security

- ▶ The RSA assumption, which assumes that the RSA problem is hard to solve, ensures that the plaintext cannot be fully recovered.
- ▶ Plain RSA does not provide IND-CPA security.
 - ▶ For Public Key systems, the adversary has the public key, hence the initial training phase is unnecessary, as the adversary can encrypt any message he wants to.
 - ▶ How to break IND-CPA security?

Real World Usage of Public Key Encryption

- ▶ Often used to encrypt a symmetric key
 - ▶ To encrypt a message M under an RSA public key (n,e) , generate a new AES key K , compute $[K^e \bmod n, \text{AES-CBC}_K(M)]$
- ▶ One often needs random padding.
 - ▶ Given M , chooses random r , and generates $F(M,r)$, and then encrypts as $F(M,r)^e \bmod n$
 - ▶ From $F(M,r)$, one should be able to recover M
 - ▶ This provides randomized encryption

Digital Signatures: The Problem

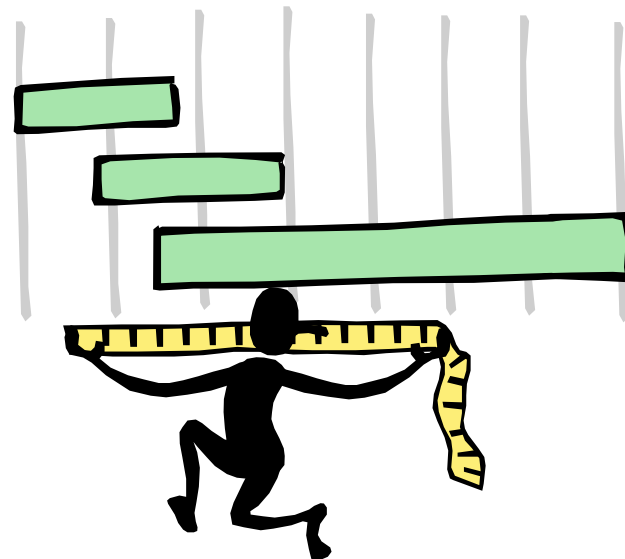
- ▶ Consider the real-life example where a person pays by credit card and signs a bill; the seller verifies that the signature on the bill is the same with the signature on the card
- ▶ Contracts are valid if they are signed.
- ▶ Signatures provide **non-repudiation**.
 - ▶ ensuring that a party in a dispute cannot repudiate, or refute the validity of a statement or contract.
- ▶ Can we have a similar service in the electronic world?
 - ▶ Does Message Authentication Code provide non-repudiation? Why?

Digital Signatures

- ▶ MAC: One party generates MAC, one party verifies integrity.
- ▶ Digital signatures: One party generates signature, many parties can verify.
- ▶ Digital Signature: a data string which associates a message with some originating entity.
- ▶ Digital Signature Scheme:
 - ▶ a signing algorithm: takes a message and a (private) signing key, outputs a signature
 - ▶ a verification algorithm: takes a (public) verification key, a message, and a signature
- ▶ Provides:
 - ▶ Authentication, Data integrity, Non-Repudiation

Digital Signatures and Hash

- ▶ Very often digital signatures are used with hash functions, hash of a message is signed, instead of the message.
- ▶ Hash function must be:
 - ▶ Strong collision resistant



RSA Signatures

Key generation (as in RSA encryption):

- ▶ Select 2 large prime numbers of about the same size, p and q
- ▶ Compute $n = pq$, and $\Phi = (q - 1)(p - 1)$
- ▶ Select a random integer e , $1 < e < \Phi$, s.t. $\gcd(e, \Phi) = 1$
- ▶ Compute d , $1 < d < \Phi$ s.t. $ed \equiv 1 \pmod{\Phi}$

Public key: (e, n)

used for verification

Private key: d ,

used for generation

RSA Signatures with Hash (cont.)

Signing message M

- ▶ Verify $0 < M < n$
- ▶ Compute $S = h(M)^d \bmod n$

Verifying signature S

- ▶ Use public key (e, n)
- ▶ Compute $S^e \bmod n = (h(M)^d \bmod n)^e \bmod n = h(M)$

Non-repudiation

- ▶ Nonrepudiation is the assurance that someone cannot deny something. Typically, nonrepudiation refers to the ability to ensure that a party to a contract or a communication cannot deny the authenticity of their signature on a document or the sending of a message that they originated.
- ▶ Can one deny a signature one has made?
- ▶ Does email provide non-repudiation?

The Big Picture

	Secret Key Setting	Public Key Setting
Secrecy / Confidentiality	Stream ciphers Block ciphers + encryption modes	Public key encryption: RSA, El Gamal, etc.
Authenticity / Integrity	Message Authentication Code	Digital Signatures: RSA, DSA, etc.

Take home lessons

- ▶ RSA the most well-known PKI encryption and digital signature
- ▶ RSA is secure when used with proper key sizes 1024 bits and higher
- ▶ Digital certificates push entities to trust CAs
- ▶ If a CA is compromised certificates must be revoked

