

Cristina Nita-Rotaru



CS355: Cryptography

Lecture 5: One-time pad.

One-time pad

- ▶ Extend Vigenère cipher so that the key is as long as the plaintext
 - ▶ No repeat, cannot be broken by finding key length + frequency analysis
- ▶ Key is a random string that is at least as long as the plaintext
- ▶ Encryption is similar to Vigenère

History of One-time pad

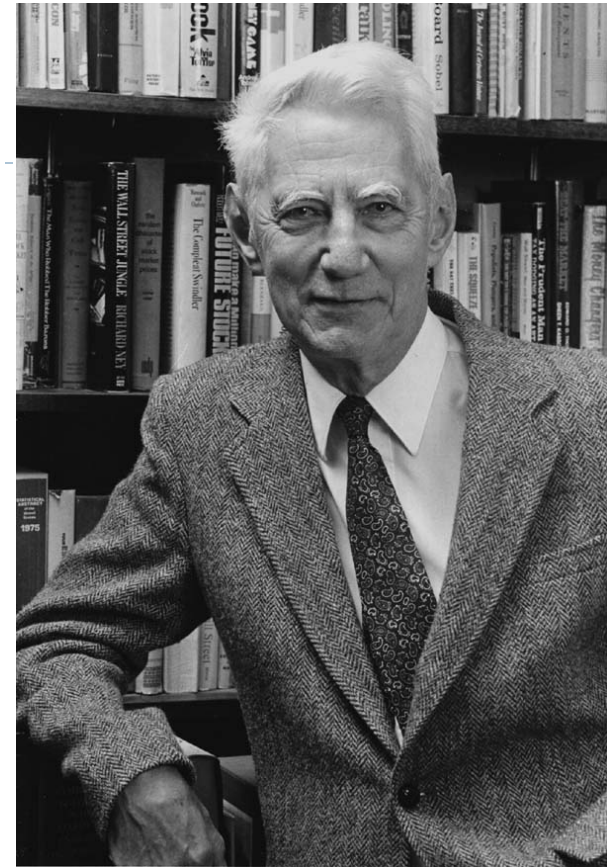
- ▶ 1882 - First described by Frank Miller
- ▶ 1917 - Re-invented by Gilbert Vernam; one time pad also known as the Vernam cipher
- ▶ 1919 - Patented by Vernam
- ▶ Joseph Mauborgne recognized that having the key totally random increased security
- ▶ 1949 – showed the One-time pad had perfect secrecy, Shannon



Gilbert Sandford Vernam (1890 - 1960), was **AT&T Bell Labs** engineer



Joseph Mauborgne (1881-1971) was a **Major General** in the **United States Army**



Claude Elwood Shannon (1916 - 2001), **American electronic engineer and mathematician**, was "the father of information theory"

One-time pad: encryption and decryption

Key is chosen randomly

Plaintext $X = (x_1 \ x_2 \ \dots \ x_n)$

Key $K = (k_1 \ k_2 \ \dots \ k_n)$

Ciphertext $Y = (y_1 \ y_2 \ \dots \ y_n)$

$$e_k(X) = (x_1+k_1 \ x_2+k_2 \ \dots \ x_n+k_n) \bmod m$$

$$d_k(Y) = (y_1-k_1 \ y_2-k_2 \ \dots \ y_n-k_n) \bmod m$$

Binary version of One-time pad

Plaintext space = Ciphertext space =

Keyspace = $\{0,1\}^n$

Key is chosen randomly

For example:

- ▶ Plaintext is 11011011
- ▶ Key is 01101001
- ▶ Then ciphertext is 10110010

Bit operators

▶ **Bit AND**

$$0 \wedge 0 = 0 \quad 0 \wedge 1 = 0 \quad 1 \wedge 0 = 0 \quad 1 \wedge 1 = 1$$

▶ **Bit OR**

$$0 \vee 0 = 0 \quad 0 \vee 1 = 1 \quad 1 \vee 0 = 1 \quad 1 \vee 1 = 1$$

▶ **Addition mod 2 (also known as Bit XOR)**

$$0 \oplus 0 = 0 \quad 0 \oplus 1 = 1 \quad 1 \oplus 0 = 1 \quad 1 \oplus 1 = 0$$

Security of One-time pad

- ▶ Intuitively, it is secure ...
- ▶ The key is random, so the ciphertext is completely random

Information-theoretic security

- ▶ Basic Idea: Ciphertext should provide no “information” about plaintext
- ▶ We also say such a scheme has perfect secrecy.
- ▶ One-time pad has perfect secrecy
 - ▶ E.g., suppose that the ciphertext is “Hello”, can we say any plaintext is more likely than another plaintext?
- ▶ Result due to Shannon, 1949

Key randomness in One-time pad

- ▶ One-Time Pad uses a very long key, what if the key is not chosen randomly, instead, texts from, e.g., a book is used.
 - ▶ this is not One-Time Pad anymore
 - ▶ this does not have perfect secrecy
 - ▶ this can be broken
- ▶ The key in One-Time Pad should never be reused.
 - ▶ If it is reused, it is insecure!

Limitations of One-time pad

- ▶ Perfect secrecy \Rightarrow key-length \geq msg-length
- ▶ Difficult to use in practice

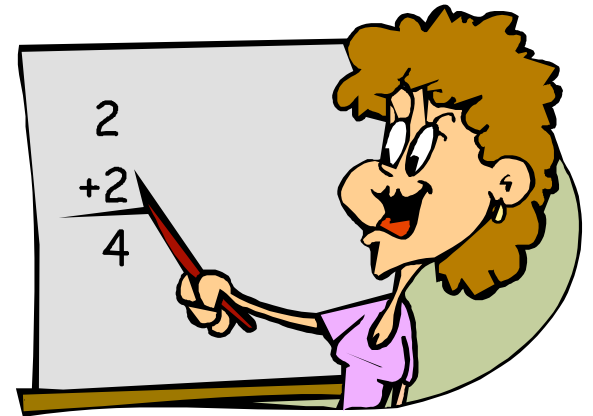
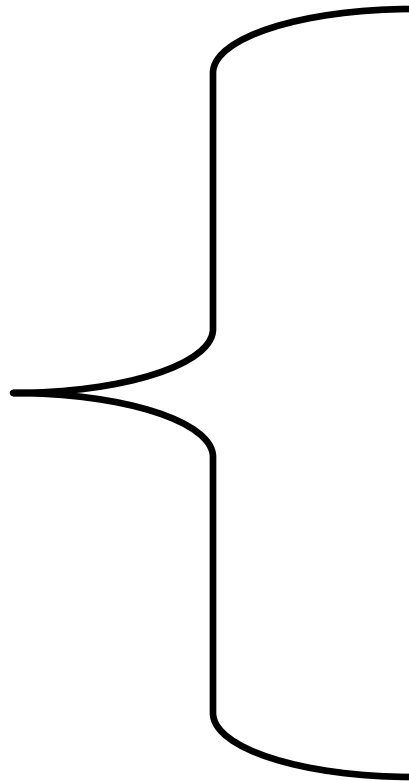
Unconditional security

- ▶ The adversary has unlimited computational resources.
- ▶ Analysis is made by using probability theory.
- ▶ Perfect secrecy: observation of the ciphertext provides no information to an adversary.
- ▶ Result due to Shannon, 1949.

C. E. Shannon, "Communication Theory of Secrecy Systems", Bell System Technical Journal, vol.28-4, pp 656--715, 1949.

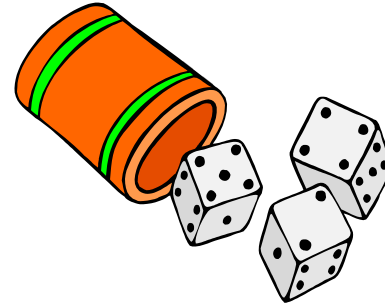


Begin math



Elements of probability theory

A random experiment has an unpredictable outcome.



Definition

The **sample space (S)** of a random phenomenon is the **set of all outcomes** for a given experiment.

Definition

The **event (E)** is a **subset of a sample space**, an event is any collection of outcomes.

Basic axioms of probability

If E is an event, $Pr(E)$ is the probability that event E occurs then

- (a) $0 \leq Pr(A) \leq 1$ for any set A in S .
- (b) $Pr(S) = 1$, where S is the sample space.
- (c) If E_1, E_2, \dots, E_n is a sequence of mutually exclusive events, that is $E_i \cap E_j = \emptyset$, for all $i \neq j$ then:

$$Pr(E_1 \cup E_2 \cup \dots \cup E_n) = \sum_{i=1}^n Pr(E_i)$$

More properties

If E is an event and $Pr(E)$ is the probability that the event E occurs then

- ▶ $Pr(\hat{E}) = 1 - Pr(E)$ where \hat{E} is the complimentary event of E
- ▶ If outcomes in S are equally like, then $Pr(E) = |E| / |S|$ (where $| |$ denotes the cardinality of the set)

Random variable

Definition

A **discrete random variable**, \mathbf{X} , consists of a finite set X , and a probability distribution defined on X . The probability that the random variable \mathbf{X} takes on the value x is denoted $\mathbf{Pr}[\mathbf{X} = x]$; sometimes, we will abbreviate this to $\mathbf{Pr}[x]$ if the random variable \mathbf{X} is fixed. It must be that

$$0 \leq \mathbf{Pr}[x] \quad \text{for all } x \in X$$

$$\sum_{x \in X} \mathbf{Pr}[x] = 1$$

Relationships between two random variables

Definitions

Assume X and Y are two random variables, we define:

- **joint probability**: $\Pr[x, y] = \Pr[x|y] \Pr[y]$ is the probability that X takes value x and Y takes value y ;
- **conditional probability**: $\Pr[x|y]$ is the probability that X takes on the value x given that Y takes value y .
- **independent random variables**: X and Y are said to be independent if $\Pr[x,y]=\Pr[x]P[y]$, for all $x \in X$ and all $y \in Y$.

Bayes' theorem

Find the conditional probability of event X given the conditional probability of event Y and the unconditional probabilities of events X and Y.

Bayes' Theorem

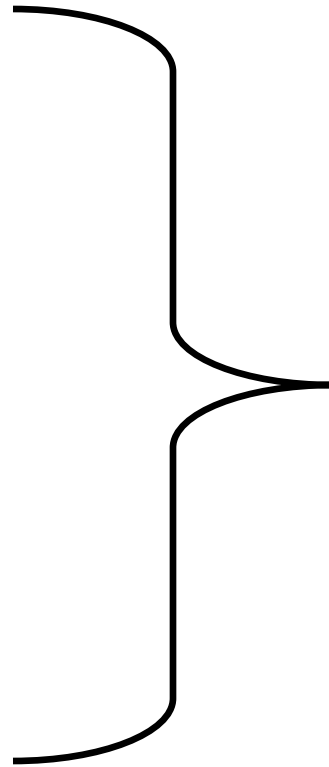
If $\Pr[y] > 0$ then

$$\Pr[x | y] = \frac{\Pr[x] \Pr[y | x]}{\Pr[y]}$$

Corollary

X and Y are independent random variables iff $\Pr[x|y] = \Pr[x]$, for all $x \in X$ and all $y \in Y$.

End math



Ciphers modeled by random variables

Consider a cipher (P, C, K, E, D) . We assume that:

1. there is a probability distribution on the plaintext (message) space
2. the key space also has a probability distribution. We assume the key is chosen before the message, **the key and the plaintext are independent random variables**
3. the ciphertext is also a random variable

Example

P: {a, b};

$\Pr(a) = 1/4$; $\Pr(b) = 3/4$

K: {k1, k2, k3};

$\Pr(k1) = 1/2$; $\Pr(k2) = \Pr(k3) = 1/4$

C: {1,2,3,4};

$e_{k1}(a) = 1$; $e_{k1}(b) = 2$;

$e_{k2}(a) = 2$; $e_{k2}(b) = 3$;

$e_{k3}(a) = 3$; $e_{k3}(b) = 4$;

P = plaintext

C = ciphertext

K = key

Perfect secrecy

Definition

Informally, perfect secrecy means that an attacker can not obtain any information about the plaintext, by observing the ciphertext.

What type of attack is this?

Definition

A cryptosystem has perfect secrecy if $\Pr[x|y] = \Pr[x]$, for all $x \in P$ and $y \in C$, where P is the set of plaintext and C is the set of ciphertext.

What can I say about $\Pr[x|y]$ and $\Pr[x]$, for all $x \in P$ and $y \in C$,

Bayes: given

$$\Pr[x | y] = \frac{\Pr[x] \Pr[y | x]}{\Pr[y]}$$

Don't know it, but can be computed

Don't know it, but can be computed

KNOWN, $\Pr[x]$, $\Pr[k]$

$C(k)$: the set of all possible ciphertexts if key is k .

$$\Pr[y | x] = \sum_{K: x = d_k(y)} \Pr[k]$$

$$\Pr[y] = \sum_{K: y \in C(k)} \Pr[k] \Pr[x]$$

$$\Pr[x | y] = \frac{\Pr[x] \sum_{K: x = d_k(y)} \Pr[k]}{\sum_{K: y \in C(k)} \Pr[k] \Pr[x]}$$

Example

P: {a, b}; Pr(a) = 1/4; Pr(b) = 3/4

K: {k1, k2, k3}; Pr(k1) = 1/2; Pr(k2) = Pr(k3) = 1/4

C: {1,2,3,4}; $e_{k_1}(a) = 1; e_{k_1}(b) = 2; e_{k_2}(a) = 2; e_{k_2}(b) = 3;$
 $e_{k_3}(a) = 3; e_{k_3}(b) = 4;$

Distribution of the ciphertext:

$$\Pr(1) = \Pr(k_1)\Pr(a) = 1/2 * 1/4 = 1/8$$

$$\Pr(2) = \Pr(k_1)\Pr(b) + \Pr(k_2)\Pr(a) = 1/2 * 3/4 + 1/4 * 1/4 = 7/16$$

$$\text{Similarly: } \Pr(3) = 1/4; \Pr(4) = 3/16;$$

Conditional probability distribution of the ciphertext (we use Bayes)

$$\Pr(a|1) = \Pr(1|a)\Pr(a)/\Pr(1) = 1/2 * 1/4 / (1/8) = 1$$

$$\text{Similarly: } \Pr(a|2) = 1/7; \Pr(a|3) = 1/4; \Pr(a|4) = 0;$$

$$\Pr(b|1) = 0; \Pr(b|2) = 6/7; \Pr(b|3) = 3/4; \Pr(b|4) = 1$$

DOES THIS CRYPTOSYSTEM HAVE PERFECT SECRECY?

One-time pad has perfect secrecy

- ▶ $P = C = K = \{0,1\}^n$, key is chosen randomly, key used once per message

Proof: We need to show that for any probability of the plaintext, $\forall x \forall y, \Pr [x | y] = \Pr[x]$

$$\begin{aligned} \Pr [x | y] &= \Pr[x] \Pr [y | x] / \Pr[y] \text{ (Bayes)} \\ &= \Pr[x] \Pr [k] / \sum_{x \in X} (\Pr[x] \Pr[k]) \\ &= \Pr[x] 1/2^n / \sum_{x \in X} (\Pr[x] 1/2^n) \\ &= \Pr[x] / \sum_{x \in X} (\Pr[x]) \\ &= \Pr[x] \end{aligned}$$

Take home lessons

- ▶ One-time pad difficult to use in practice
 - ▶ Key must be random
 - ▶ As long as the message
 - ▶ Used only once
- ▶ Perfect secrecy, theoretical model for security
- ▶ One time pad has perfect secrecy

