

Cristina Nita-Rotaru



# CS355: Cryptography

Lecture 2: Shift cipher, substitution cipher.

# Course overview (1)

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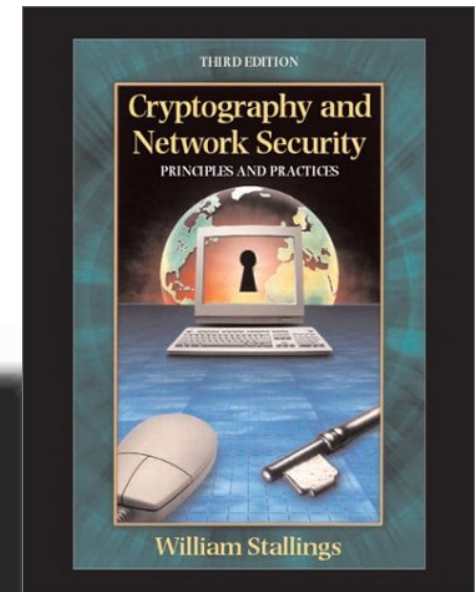
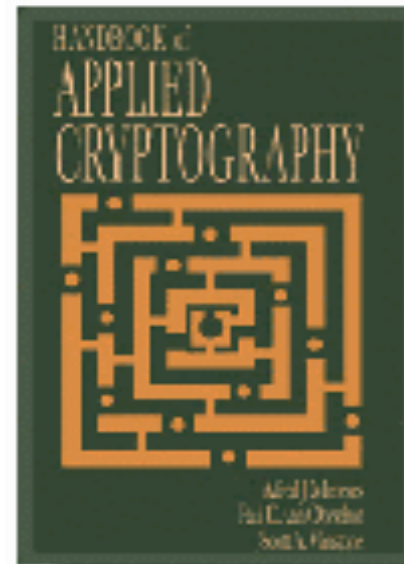
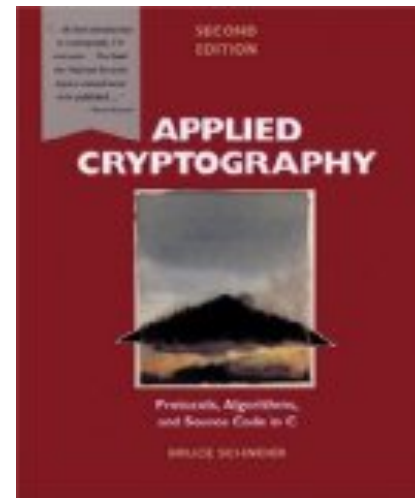
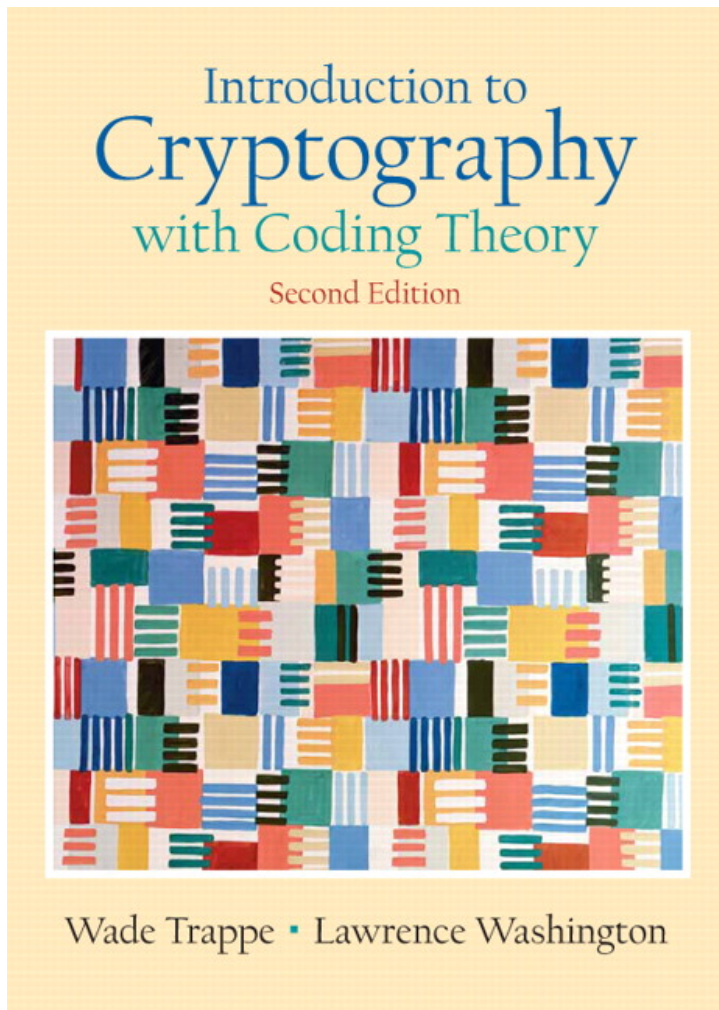
- ▶ Concepts and principles of cryptography: security services, attacks and mechanisms.
- ▶ Classical cryptographic systems: shift cipher, Vigenere and Vernam ciphers, Jefferson wheel cipher and the Enigma machine.
- ▶ Block ciphers: DES, Blowfish, RC5, IDEA, AES.
- ▶ Stream ciphers: SEAL, RC4.
- ▶ Public-key encryption: RSA, ElGamal, Rabin.
- ▶ Data integrity: hash functions, MD5, SHA1, HMAC.
- ▶ Digital signatures: RSA, ElGamal, DSA, Schnorr.
- ▶ Authentication protocols, data and entity authentication. One time passwords, Lamport's scheme, challenge-response schemes, Kerberos.

## Course overview (2)

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- ▶ Key management: two-party key exchange and group key management protocols.
- ▶ Digital rights.
- ▶ Zero-knowledge proofs.
- ▶ Identity-based cryptosystems.
- ▶ Notions of threshold cryptography.
- ▶ Biometrics

# Reference material



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# Course information

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- ▶ Meetings
  - ▶ MWF 12:30-1:20 LWSN 1106
  - ▶ Make up class: Monday at 6 pm in same room, TU 6 pm in LWSN B 146. We will use the lab for exercises in class.
- ▶ Professor contact info:
  - ▶ Office: 2142J
  - ▶ Email: [crisn@cs](mailto:crisn@cs)
  - ▶ **Office hours: by appointment**
- ▶ TA: Denis Ulybyshev
  - ▶ Email: [dulybysh@purdue.edu](mailto:dulybysh@purdue.edu)
- ▶ Class webpage
  - ▶ [http://homes.cerias.purdue.edu/~crisn/courses/cs355\\_Fall\\_2012/](http://homes.cerias.purdue.edu/~crisn/courses/cs355_Fall_2012/)
- ▶ Use Piazza for questions and postings

# Class attendance

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- ▶ Slides will be available before lecture, **class attendance is recommended**
- ▶ Email me if you must miss lectures
- ▶ If you miss a lecture it is your responsibility to find out what happened in class
- ▶ Monitor class website and piazza to know what's going on in the class
- ▶ If you can not attend Monday make-up classes, I can meet with you and discuss the missed lecture

# Grading policy

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- ▶ Written Assignments (~5) 20%
- ▶ Projects (~4, 3+final project) 25%
- ▶ Midterm Exam 20%
- ▶ Final Exam 25%
- ▶ Class/Piazza Participation 10%

# Extra days

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- ▶ **Every student has 5 extra days** for all the written assignments and **5 extra days** for individual programming projects
- ▶ YOU DECIDE HOW TO USE THEM
- ▶ Email me and the TA with name and number of extra days used for an assignment. 1 minute late counts as 1 extra day
- ▶ After using your extra days, no late homework or project will be accepted



# Homework

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- ▶ Homework must be TYPED. IF IT'S NOT TYPED, WE DO NOT GRADE IT
- ▶ Homework is due by 12:30 by email (me and the TA). Use PDF format. If you plan to use any extra day you have to email me and the TA by 12:30 to let us know
- ▶ Homework will be returned in class.
- ▶ You **must work alone** on the written homework, write everything in your own words

# Exams

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- ▶ Midterm - proposed date week Oct. 3 in class (before Fall break week)
- ▶ Final – check university web page
- ▶ We will have a review of the material before midterm and final
- ▶ Final covers all the material studied all semester
- ▶ Exam problems are similar with homework and test also what you learn through the programming projects
- ▶ You will receive a practice final

# Programming projects

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- ▶ Three programming projects
- ▶ One final project
- ▶ You must work alone
- ▶ More information will come
- ▶ Purpose of the projects is to offer a glimpse of what it means to design and implement secure protocols
- ▶ Submission will be via turn in, make sure you have a CS account if you're not a CS major

# Academic integrity

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- ▶ Purdue University Academic Integrity:

[http://www.purdue.edu/ODOS/osrr/  
conductcode.htm](http://www.purdue.edu/ODOS/osrr/conductcode.htm)

- ▶ Class policy

[http://www.cerias.purdue.edu/homes/  
spaf/cpolicy.html](http://www.cerias.purdue.edu/homes/spaf/cpolicy.html)

# Phases in cryptography's development

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- ▶ Cryptography is driven by computing and communication technology
- ▶ First stage:
  - ▶ paper and ink based scheme
- ▶ Second stage:
  - ▶ use cryptographic engines
- ▶ Third stage, modern cryptography:
  - ▶ relying on mathematics and computers
  - ▶ information-theoretic security
  - ▶ computational security

# Shift cipher

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- ▶ A substitution cipher
- ▶ The Key Space:
  - ▶ [0 .. 25]
- ▶ Encryption given a key  $K$ :
  - ▶ each letter in the plaintext  $P$  is replaced with the  $K$ 'th letter following corresponding number (shift right)
- ▶ Decryption given  $K$ :
  - ▶ shift left

History:  $K = 3$ , Caesar's cipher



# Shift cipher: An example

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A B C D E F G H I J K L M N O P Q R S T U V W X Y Z  
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25

P = CRYPTOGRAPHYISFUN

K = 11

C = NCJAVZRCCLASJTDQFY

C → 2;  $2+11 \bmod 26 = 13 \rightarrow$  N

R → 17;  $17+11 \bmod 26 = 2 \rightarrow$  C

...

N → 13;  $13+11 \bmod 26 = 24 \rightarrow$  Y

# Shift cipher: Cryptanalysis

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- ▶ Can an attacker find  $K$ ?
  - ▶ YES: exhaustive search, key space is small ( $\leq 26$  possible keys).
- ▶ Once  $K$  is found, very easy to decrypt



# Mono-alphabetical substitution cipher

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- ▶ The key space: all permutations of  $\Sigma = \{A, B, C, \dots, Z\}$
- ▶ Encryption given a key (permutation)  $\pi$ :
  - ▶ each letter  $X$  in the plaintext  $P$  is replaced with  $\pi(X)$
- ▶ Decryption given a key  $\pi$ :
  - ▶ each letter  $Y$  in the ciphertext  $P$  is replaced with  $\pi^{-1}(Y)$

## Example:

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z
$\pi =$	B	A	D	C	Z	H	W	Y	G	O	Q	X	L	V	T	R	N	M	S	K	J	I	P	F	E	U

**BECAUSE**  $\rightarrow$  **AZDBJSZ**

# Cryptanalysis of mono-alphabetical substitution cipher

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- ▶ Exhaustive search is infeasible
  - ▶ key space size is  $26! \approx 4 \cdot 10^{26}$
- ▶ Dominates the art of secret writing throughout the first millennium A.D.
- ▶ Thought to be unbreakable by many back then, until .... frequency analysis

# History of frequency analysis

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- ▶ Discovered by the Arabs
  - ▶ Earliest known description of frequency analysis is in a book by the ninth-century scientist Al-Kindi
- ▶ Rediscovered or introduced from the Arabs in the Europe during the Renaissance
- ▶ Frequency analysis made substitution cipher insecure

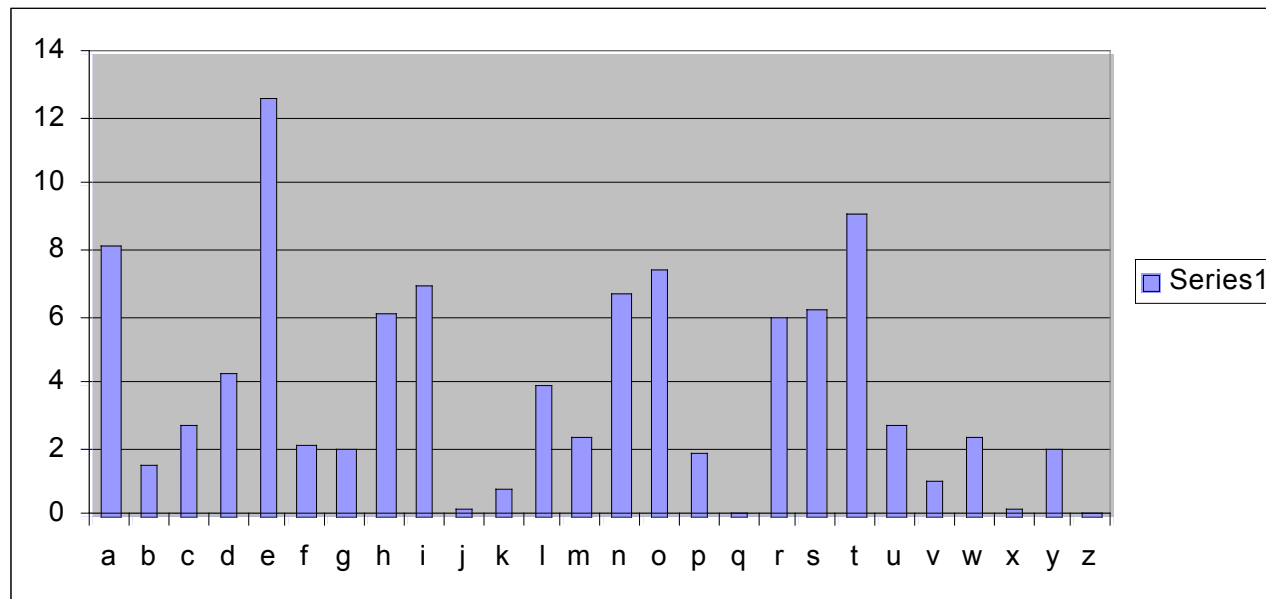
# Frequency analysis

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- ▶ Each language has certain features: frequency of letters, or of groups of two or more letters
- ▶ Substitution ciphers preserve the language features
- ▶ **Substitution ciphers are vulnerable to frequency analysis attacks**

# Frequency of letters in English

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# Other languages

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## French

E	16.7%	T	7.3%	C	3.5%	G	1.1%	J	0.3%
S	8.2%	O	5.8%	P	3.0%	Q	1.1%	Y	0.2%
A	8.0%	U	5.5%	M	2.9%	B	0.7%	Z	0.2%
N	7.9%	L	4.9%	V	1.4%	X	0.6%	K	0.1%
I	7.6%	D	3.9%	F	1.2%	H	0.5%	W	0.0%
R	7.4%								

## German

E	18.0%	T	5.7%	G	3.2%	F	1.6%	P	0.8%
N	10.6%	D	5.4%	O	2.7%	W	1.5%	J	0.3%
I	8.1%	U	4.6%	C	2.7%	K	1.3%	Y	0.0%
R	7.2%	H	4.1%	M	2.3%	Z	1.1%	X	0.0%
S	6.9%	L	3.3%	B	1.7%	V	0.9%	Q	0.0%
A	6.0%								

# Other frequency features of English

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- ▶ Vowels, which constitute 40 % of plaintext, are often separated by consonants
- ▶ Letter A is often found in the beginning of a word or second from last.
- ▶ Letter I is often third from the end of a word.
- ▶ Letter Q is followed only by U
- ▶ And more ...

# Frequency analysis in action

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- ▶ The number of different ciphertext characters or combinations are counted to determine the frequency of usage
- ▶ The cipher text is examined for patterns, repeated series, and common combinations
- ▶ Replace ciphertext characters with possible plaintext equivalents using known language characteristics



# Example

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- ▶ KYZMZ BMZ HGIZ KMZZS BVC KYMZZ  
HXTPZMS GV KYZ LZBCTP TH CMZBLS GV  
KZFBS

# Solving with frequency analysis

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▶ KYZMZ BMZ HGIZ KMZZS BVC KYMZZ HXTPZMS GV KYZ LZBCTP TH  
CMZBLS GV KZFBS

▶ Most frequent: Z = 13; M= 6, K = 5, B = 5

▶ Guess Z is E

▶ KYEME BME HGIE KMEES BVC KYMEE HXTPEMS GV KYE LEBCTP TH CMEBLS  
GV KEFBS

Guess: K is T and Y is H

THEME BME HGIE TMEES BVC THMEE HXTPEMS GV THE LEBCTP TH CMEBLS GV  
TEFBS

Most frequent, E, T, A , try B is A

THEME AME HGIE TMEES AVC THMEE HXTPEMS GV THE LEACTP TH CMEALS GV  
TEFAS

Obvious M is R

THERE ARE HGIE TREES AVC THREE HXTPEMS GV THE LEACTP TH CREALS GV  
TEFAS

**FINISH UP THE EXERCISE ON YOUR OWN**

# Solution

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A B C D E F G H I J K L M N O P Q R  
S T U V W X Y Z

$\pi =$  B A D C Z H W Y G O Q X L V T R N M  
S K J I P F E U

# Improve the security of substitution cipher

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- ▶ **Using nulls**
  - ▶ e.g., using numbers from 1 to 99 as the ciphertext alphabet, some numbers representing nothing are inserted randomly
- ▶ **Deliberately misspell words**
  - ▶ e.g., “Thys haz thi ifekkt off diztaughting thi ballans off frikwenseas”
- ▶ **Homophonic substitution cipher**
  - ▶ each letter is replaced by a variety of substitutes
- ▶ **These make frequency analysis more difficult, but not impossible**

# Take home lessons

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- ▶ Shift ciphers are easy to break using brute force attacks, they have small key space
- ▶ Substitution ciphers preserve language features and are vulnerable to frequency analysis attacks

